

KERN REGIONAL CENTER

3200 N. Sillect Avenue • Bakersfield, CA 93308 • (661) 327-8531

Board of Directors Meeting

6:00 p.m. – 7:30 p.m.

KRC Malibu Conference Room

3300 N. Sillect Ave.

Bakersfield, CA 93308

AGENDA

November 3, 2015

I. CALL TO ORDER/ROLL CALL

II. CONSENT AGENDA

- A. Regular Meeting of the Board of Directors Agenda dated November 3, 2015
- B. Minutes of the Regular Meeting of the Board of Directors held October 6, 2015
Attachment 1

III. BOARD MEMBERS' COMMENTS

IV. PUBLIC COMMENT

This opportunity is provided for members of the public to make a brief statement, not to exceed four (4) minutes, on an issue or concern not covered under any other item on this agenda.

V. ACTION AND DISCUSSION ITEMS – BOARD ACTIVITIES

- A. Consideration of Appointment of Developmental Services Support Foundation Board of Directors (Action as Sole Member of DSSF) *(Action)*
- B. Replacement of Jon Gusman as Pension Trustee *(Action)*
- C. Private Letter Ruling *(Discussion)*

VI. ACTION AND DISCUSSION ITEMS – KRC ACTIVITIES

- A. **Budget Update and Financial Report** (Jon Gusman) – KRC budget and financial Report pertaining to the delivery of developmental services. Audit/Finance Committee.
(Information)

VII. REPORTS TO THE BOARD OF DIRECTORS

(Reports will be made only from groups that have met and/or have items to present.)

- A. Board President Report (Frank Meyer)
- B. Executive Committee Report (Frank Meyer)

- C. KRC CEO Report (Robert Riddick)
- D. Vendor Advisory Committee Report (Laurie Hughey)
- E. Director of Client Services (Laura Hughes)
- F. Director of Community Services (Cherylle Mallinson)
- G. Self Determination (Cherylle Mallinson, Vicky Smith, Joe Bowling)
- H. Review Proposed Change of Board Contract in Policy
- I. Legislative Report (Robert Riddick)
- J. People First Report/Client Advocate
- K. State Council on Developmental Disabilities Reports (Vicky Smith, Joe Bowling)
- L. KRC Staff Report (Lori Blodorn)

VIII. ADJOURNMENT

The next Regular Meeting of the Board of Directors is scheduled for Tuesday, December 1, 2015, at 6:00 p.m. in the Malibu Conference Room at the Kern Regional Center.

Note: Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Executive Assistant during regular business hours at 661-852-3360.

KERN REGIONAL CENTER
3200 N. Sillect Avenue • Bakersfield, CA 93308 • (661) 327-8531

Board of Directors Meeting
5:30 p.m. – 7:30 p.m.
KRC Malibu Conference Room
3300 N. Sillect Ave.
Bakersfield, CA 93308

MINUTES
October 6, 2015

Present Board Members:

Frank Meyer, President	Rosita Barron, Vice President
Ismael Romero	Lorie Stewart
Laurie Hughey	Matthew Lagrand
Quanah Mason	Nickole Mensch
Veronica Quezada	

I. CALL TO ORDER/ROLL CALL

The meeting was called to order at approximately 6:00 p.m. by Frank Meyer. It was determined that a quorum was present.

II. CONSENT AGENDA

- A. Regular Meeting of the Board of Directors Agenda dated October 6, 2015
- i. Motion to add item G. Motion to Approve the Extended Union Contract to V. ACTION AND DISCUSSION ITEMS – BOARD ACTIVITIES.
M/S/C - (Romero/Mensch) to approve the Agenda with additions. 9-0-0.
- B. Minutes of the Regular Meeting of the Board of Directors held September 1, 2015
M/S/C - (Lagrand/Hughey) to approve Minutes with correction: VI. ACTION AND DISCUSSION ITEMS – KRC ACTIVITIES – Item A. Budget Update and Financial Report – Item “c” to read Kern has a current year operations deficit. Jon is working on identifying areas for decrease in expenses. 7-0-2. Stewart and Quezada abstained.

III. BOARD MEMBERS’ COMMENTS

A. Matthew reported on the ARCA meeting he attended. The ARCA CAC members decided that their meeting would be set to start at 10:30 am as opposed to 11:00 am so that more members would be able to attend in person.

IV. PUBLIC COMMENT

Cindy Martinez – The Turkey Basket Committee has had three events and has raised approximately \$800.00. The next fund raiser will be raffling off a Halloween Basket. After

that, each KRC unit will donate a basket for a raffle to take place at the Vendor Luncheon, with the exception of three baskets. The Committee will display the baskets at the next board meeting.

Ramona, President of the Autism Society for Kern – Shared information relative to events that are taking place this month. The first is due to occur next weekend. This is the Autism Spectrum Seminar Series. The events are open to the public and to the families of Kern. English and Spanish flyers were provided for the audience. A Spanish interpreter has not yet been retained due to lack of request; however, the public will be accommodated if the request is made. The Autism Awareness Bowling event is set to take place on October 25th. The participants are welcomed to wear costumes. In November, they will be sponsoring thirty families to be recipients of the Thanksgiving Dinner Project. They do need to have a diagnosis of Autism and there has to be an actual need.

V. ACTION AND DISCUSSION ITEMS – BOARD ACTIVITIES

- A. Consideration of Appointment of Developmental Services Support Foundation Board of Directors (Action as Sole Member of DSSF) *(Action)*
 - a. Discussion deferred.
- B. Replacement of Jon Gusman as Pension Trustee *(Action)*
 - a. Discussion deferred.
- C. Private Letter Ruling *(Discussion)*
 - a. Discussion deferred.
- D. Approval of Contracts Policy *(Action)*
 - a. Cherylle Mallinson presented the proposed policy that would ensure that the board review and approve of any contract of \$250,000 or more prior to KRC entering into contract. Please see attached as “Attachment V. Action and Discussion Items – Board Activities – D.”
M/S/C - (Romero/Barron) to approve Contracts Policy. 9-0-0.
- E. Approval of Kern Regional Center’s Performance Contract *(Action)*
 - a. Cherylle Mallinson presented Kern Regional Center’s Performance Contract. Please see attached as “Attachment V. Action and Discussion Items – Board Activities – E.”
M/S/C - (Romero/Barron) to approve Performance Contract with corrections as suggested by Lorie Stewart: Second Bullet Point on Measure number 1 – describe how outreach will be done. Third to the last bullet point on Measure number 1 – “placement” should be added; on the second page, Measure number 2, bullet point number two – describe how. Page three, Measure number 9 – there are two periods at the end of the sentence. Remove one period. 9-0-0.
 - b. Robert stated there is variability in the amount of services that are being utilized. The Department of Developmental Services will be providing direction to the Regional Centers.
- F. Approval of Caseload Ratio Report *(Action)*
 - a. Laura Hughes presented the Case Load Ratio report. Please see attached as “Attachment V. Action and Discussion Items – Board Activities – F.”
 - b. Robert informed the Board that the case load ratio is a problem that exists within all twenty-one Regional Centers.
M/S/C - (Mensch/Barron) to approve Case Load Ratio report. 9-0-0.
- G. Extension of Union Contract for a year

- a. **M/S/C** - (Romero/Mensch) to ratify the Memorandum of Understanding between Kern Regional Center and Social Services Union, Local 521, Service Employees International Union, AFL-CIO executed on August 25, 2015. 9-0-0.

VI. ACTION AND DISCUSSION ITEMS – KRC ACTIVITIES

- A. **Budget Update and Financial Report** – KRC budget and financial Report pertaining to the delivery of developmental services. Audit/Finance Committee.
 - a. Jon Gusman presented the budget report. Please see attached as Attachment to VI. Action and Discussion Items; #A.
 - b. The Department of Developmental Services has provided funding to make \$400,000 to bring the budget deficit to \$0.00 for fiscal year 2014-2015. DDS has not done this in the past for Regional Centers.
 - c. Worker’s comp. is a cost driver in the deficit. At the next Board Meeting, KRC will provide a summary of types of claims that KRC has experienced.
 - d. Kern Regional Center will have to engage an attorney to aid in the audit appeal process.

VII. REPORTS TO THE BOARD OF DIRECTORS

(Reports will be made only from groups that have met and/or have items to present.)

- A. Board President Report (Frank Meyer)
 - a. The Board will have an Executive Committee Meeting prior to the next board meeting to attempt to address the deficit.
- B. Executive Committee Report (Frank Meyer)
 - a. No report.
- C. KRC CEO Report (Robert Riddick)
 - a. Robert is confident that the deficit is really around \$800,000 and Management will be working with the staff to resolve the remaining deficit. Management has a cost-reducing measure that would reduce the deficit of \$1.4 million by approximately \$600,000.
 - b. The “Ten for Ten” did not move in September, as Regional Centers had hopped.
 - c. Next year will be the 50th year of the establishment of the Lanterman Act. There will be celebration with all 21 Regional Centers.
 - c. Staff have been working hard along with vendors to not deliver services without a payment authorization.
- D. Vendor Advisory Committee Report (Laurie Hughey)
 - a. The Vendor Advisory Committee met last month.
 - b. One of the vendors raised a concern as to why KRC is continuing to put out new Request for Proposals for CPP when there are existing beds that have not been filled. One of the suggestions that was made was that for Residential providers to make sure that their CSD liaison is aware of any vacancies in their facilities on a weekly basis.
 - c. POSs are reviewed and approved for each unit at least once a week. Vendors should access the portal to determine if their POS has been approved. If vendors need immediate assistance with their POS and cannot reach SC or PM, they can speak with the PM of the day.

- d. Tracy Mensch from Independent Living Center is offering to visit individual day programs and provide free training on ADA and sensibility training. If interested, contact Tracy. Laurie has contact information.
- e. Santi Rogers will be the speaker at the Vendor Luncheon on November 6th at Hodels.
- f. NAPD, Mercy and Express have all agreed on the same 10 holidays, which are as follows: November 26-27, December 24-25 and January 1.
- g. Kern Autism Network's new seminar series will begin October 17th. There will not be a big conference in April, as they've had in the past. They will instead be conducting seminars. Families are to contact service coordinators if they are interested in attending.
- h. In 2011, a law passed that vendors receiving revenues in excess of \$250,000 but less than \$500,000 must submit an independent audit. Many vendors has not followed through and DDS is concerned that KRC is not addressing this issue.
- i. The next Vendor Advisory meeting will be October 27th.
- j. The SLS task force met on September 10th. Please see the attached summary as "Attachment to VII. Reports to the Board of Directors; #D-j".
- E. Director of Client Services (Laura Hughes)
 - a. Please see "ACTION AND DISCUSSION ITEMS – BOARD ACTIVITIES – F"
- F. Director of Community Services (Cherylle Mallinson)
 - a. Please see "ACTION AND DISCUSSION ITEMS – BOARD ACTIVITIES – D & E"
- G. Self Determination (Cherylle Mallinson, Vicky Smith, Joe Bowling)
 - a. Self Determination local advisory committee met on August 25th at the Tehachapi location. The minutes for that meeting are posted on the KRC website. The September meeting was cancelled. The October Meeting will be on the 20th in Tehachapi. The address is 21276 Pineway, Tehachapi, CA.
- H. Review Proposed Change of Board Contract in Policy
 - a. No report.
- I. Legislative Report (Robert Riddick)
 - a. No report.
- J. People First Report/Client Advocate
 - a. People will be meeting on October 27th at Ridgecrest.
 - b. Nickole Mensch is selling coupon books for People First.
 - c. Roy Rocha commented that he is doing self-advocacy for the clients. He has started visiting day programs and will be providing reports to the Board. Roy will be working alongside People First.
- K. State Council on Developmental Disabilities Reports (Vicky Smith, Joe Bowling)
 - a. Joe Bowling reported that there will be a Self Advocacy conference in Fresno. Roy Rocha has flyers and will share with Matthew Lagrand and Sheryl to put on the KRC website.
- L. Affordable Housing Committee (ASPIRE)
 - a. No report.
- M. KRC Staff Report (Lori Blodorn)
 - a. Kern Regional Center will be changing carrier for Dental Coverage as well as for the KRC paid life accidental death and long-term disability benefits.
 - b. On the dental benefits, KRC saved money and was able to provide better coverage for staff as well as save some money. The change was from Met-life to Delta Dental. The age of dependent coverage is now extended and adult and child orthodontic coverage is now available. Vision is not competitive enough to change.

c. Union Management members are able to provide ideas and input on deficit reduction at the monthly meeting. Kern management team has engaged with the Union regarding deficit reductions. The first meeting took place at the end of September. The teams will continue to meet.

VIII. ADJOURNMENT

a. Meeting is adjourned at approximately 8:02 p.m.

The next Regular Meeting of the Board of Directors is scheduled for Tuesday, November 3, 2015, at 6:00 p.m. in the Malibu Conference Room at the Kern Regional Center.

Note: Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Administrative Assistant during regular business hours at 661-852-3360.

Respectfully submitted: _____
Eva Rocha

POLICY

TITLE: Board Approval of Contracts over \$250,000.00
as directed by Lanterman Act, Section 4625.5

POLICY NO. O-12

DATE SUBMITTED: 09/01/2015

PAGE 1 of 1

PURPOSE: To establish policy ensuring board review and approval of any regional center contract of two hundred fifty thousand dollars (\$250,000) or more, before entering into contracts.

POLICY: The KRC board shall review and approval contract for \$250,000 or more prior to KRC entering into contract. Contracts for the purposes of this section, shall be defined as those agreements in which a fixed amount is guaranteed as payment to a vendor in exchange for specific deliverables (i.e., startup). It must be noted that this requirement does not apply to vendor approval letters issued by regional centers pursuant to Section 54322 of Title 17 of the California Code of Regulations nor those agreements with service providers developed as part of the typical vendorization process by the regional center that are structured with an hourly/daily/monthly rate and payment is for authorized services provided based on agreements in the Individual Program Plans or Individualized Family Service Plans.

PROCEDURE: Contracts to be approved shall be placed on the agenda for the next regularly scheduled board meeting occurring 30 or more days after the contract has been submitted. The 30 day time lag is to allow for staff analysis to be completed and the item placed on the agenda so the agenda can be circulated consistent with open meeting requirements.

KRC staff shall prepare a brief summary of the agenda item which shall include (at a minimum) an indication of the action requested, title and subject of the contract, brief description/discussion, financial summary, staff recommendation and identification of the preparer of the summary.

KRC staff shall complete a contract checklist which at a minimum includes vendor, vendor contract information, term, contract monitor, compliance with KRC insurance requirements, compliance with administrative provision in accordance with Title17 (CCR) requirements and KRC requirements, projected annual cost scope of work summary, date item is to appear on KRC board agenda, and who has reviewed and recommended approval of contracts.

This Board policy is to be posted and maintained on the KRC website.

Review Date: 9/1/15
Revision Date: 8/24/15
Approval Date: 10//15



**Performance Report
For Kern Regional Center
Reporte De Servicios Para El
Centro Regional de Kern**

2016

2016

As of June 2015

Desde Junio del 2015

- **Kern Regional Center (KRC) covers Kern, Mono & Inyo Counties**

El Centro Regional de Kern (KRC) cubre los Condados de Kern, Mono e Inyo

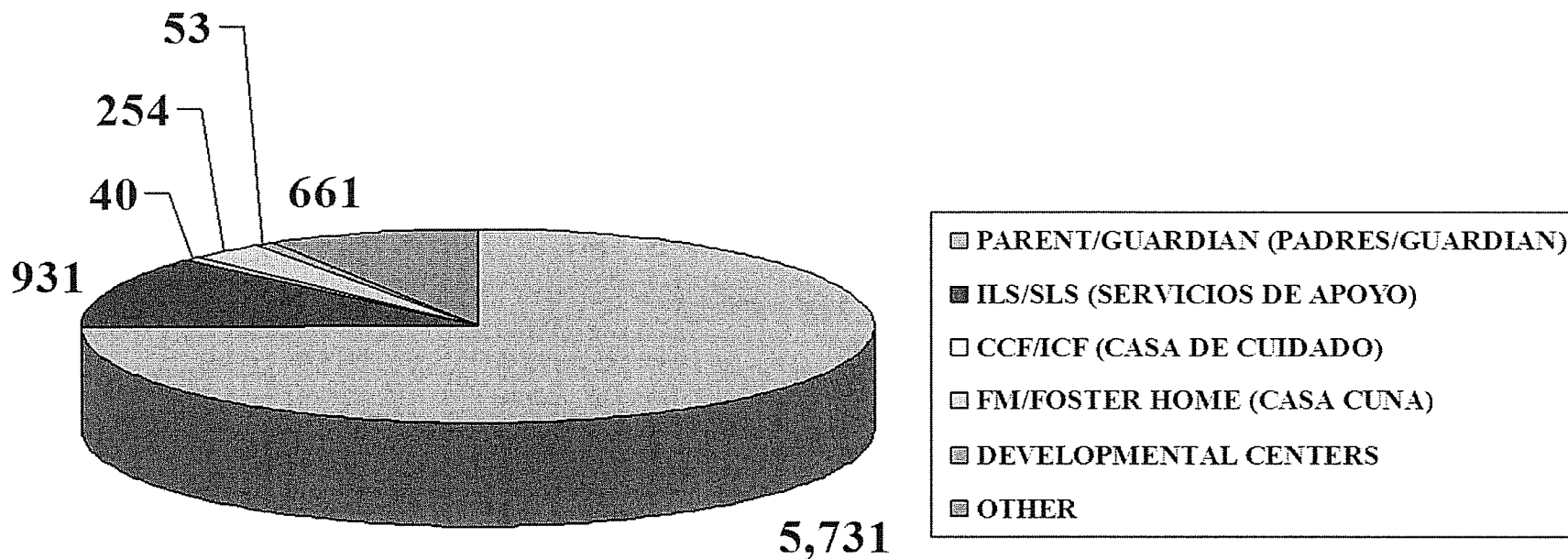
- **KRC is serving 7,670**
 - **7,617 Are Status 1, 2 (Active, Early Start)**
 - **53 Are Status 8 (Developmental Centers)**

El KRC sirve 7,670

- **7,617 Son Estado 1, 2 (Activo, Temprano Principio)**
- **53 Son Estado 8 (Centros de Desarrollo)**

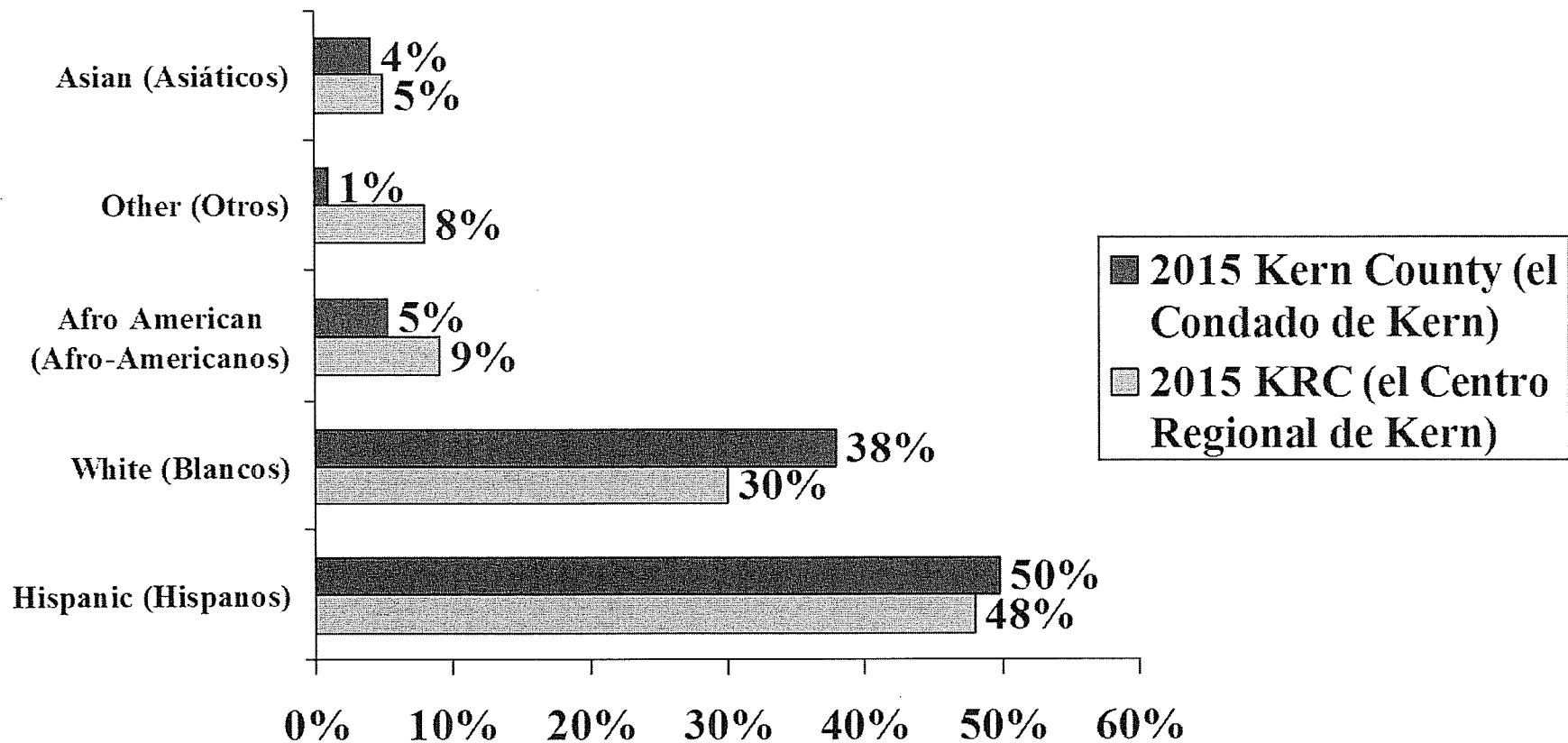
As of June 2015: Where Clients Live

Desde Junio de 2015: Donde Viven Los Clientes

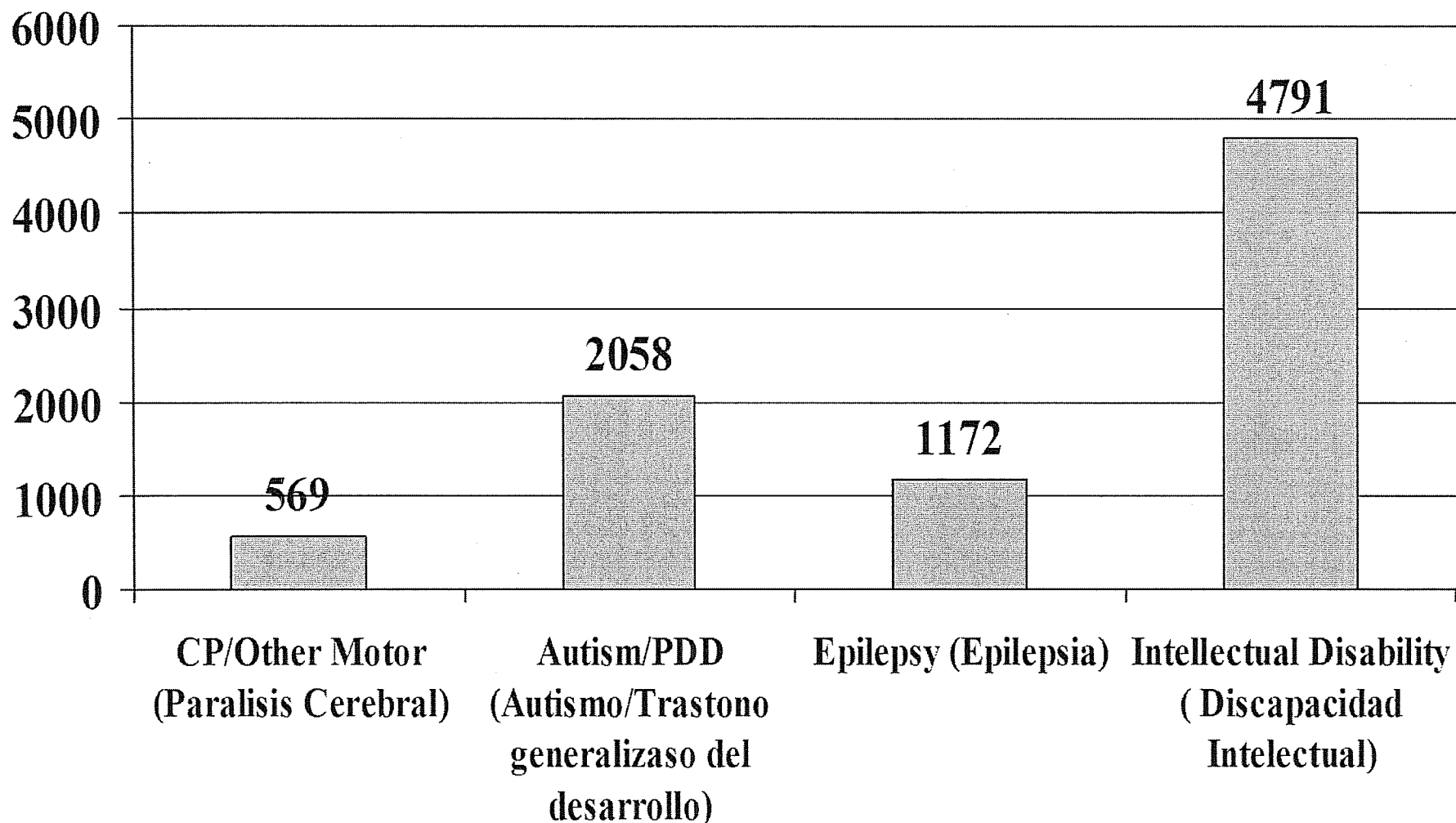


Ethnicity

Etnicidad

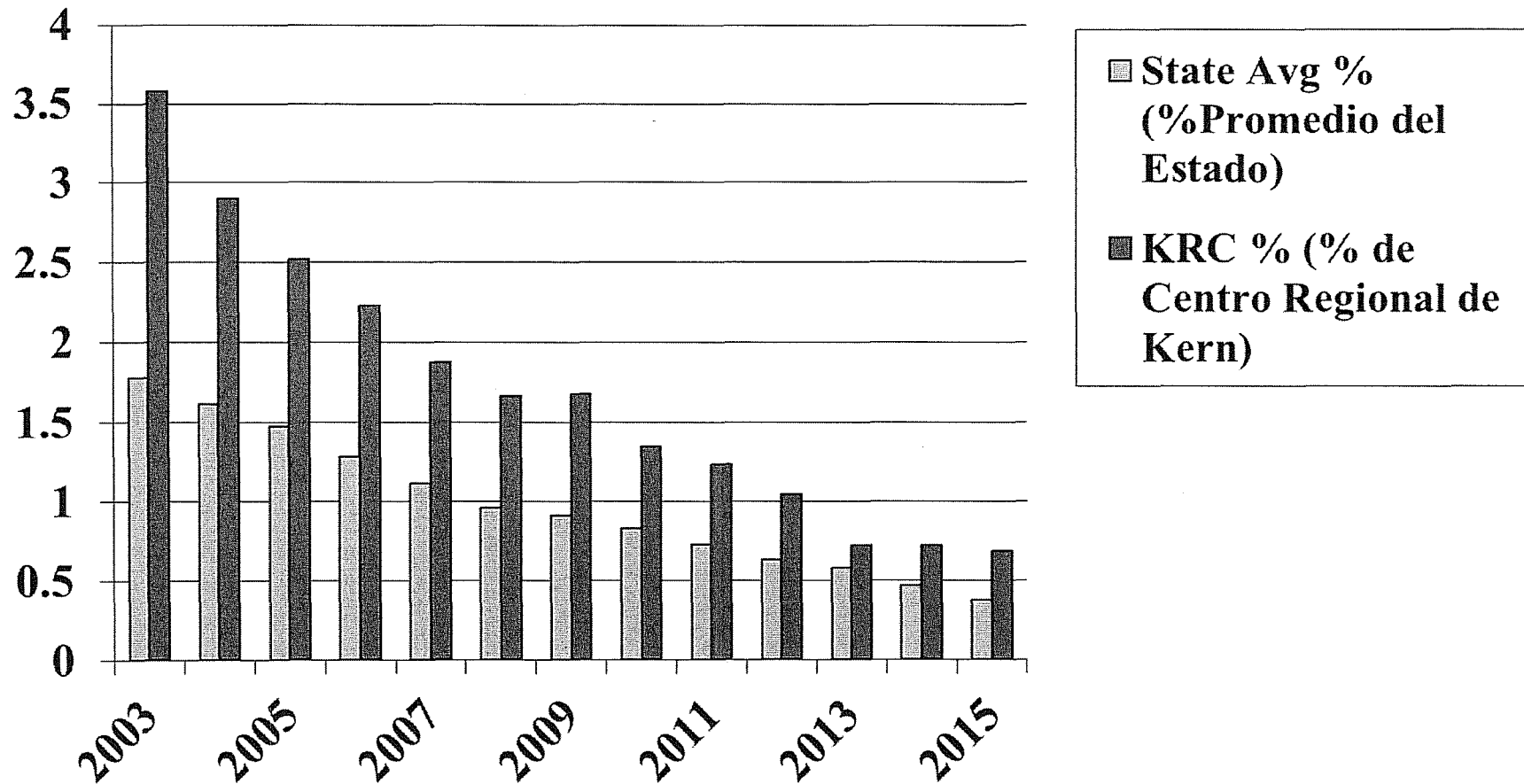


Diagnosis/Diagnóstico

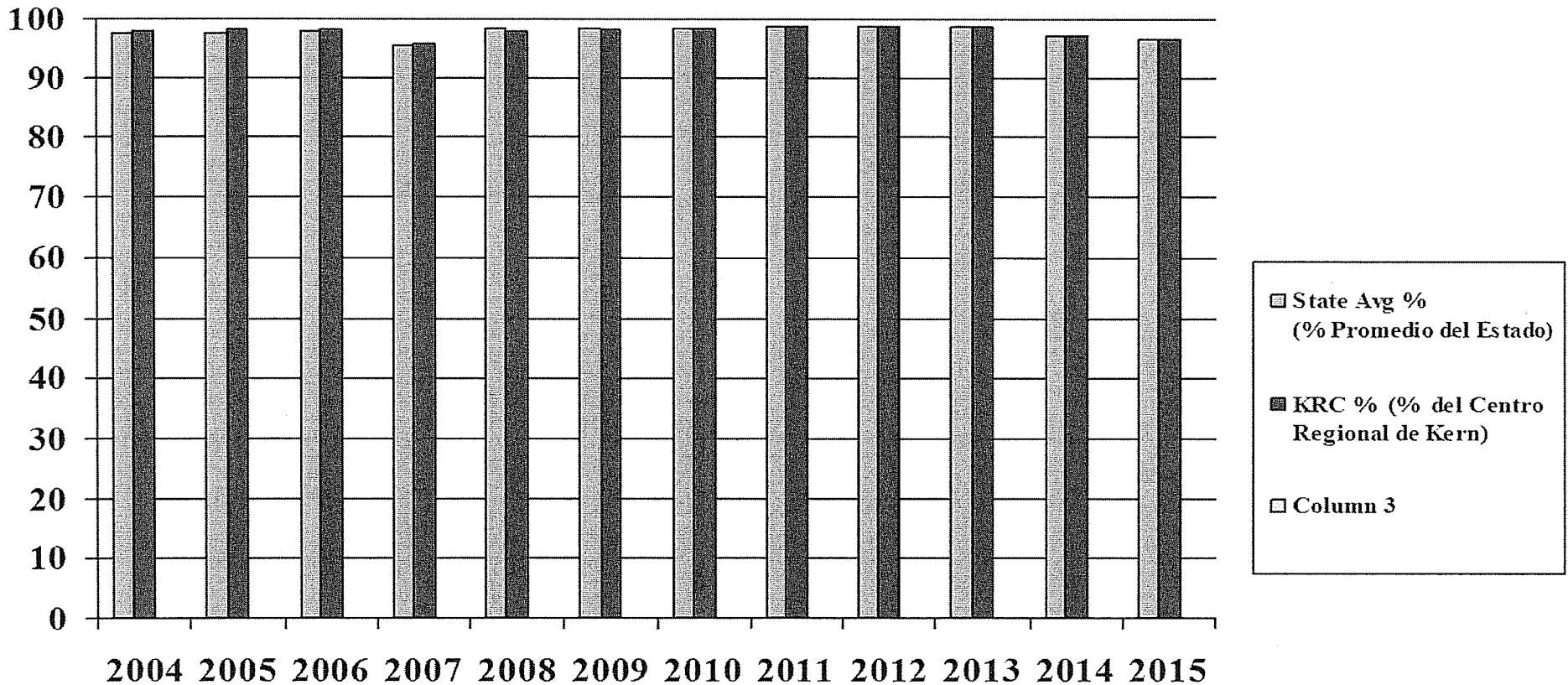


Fewer clients in Developmental Centers

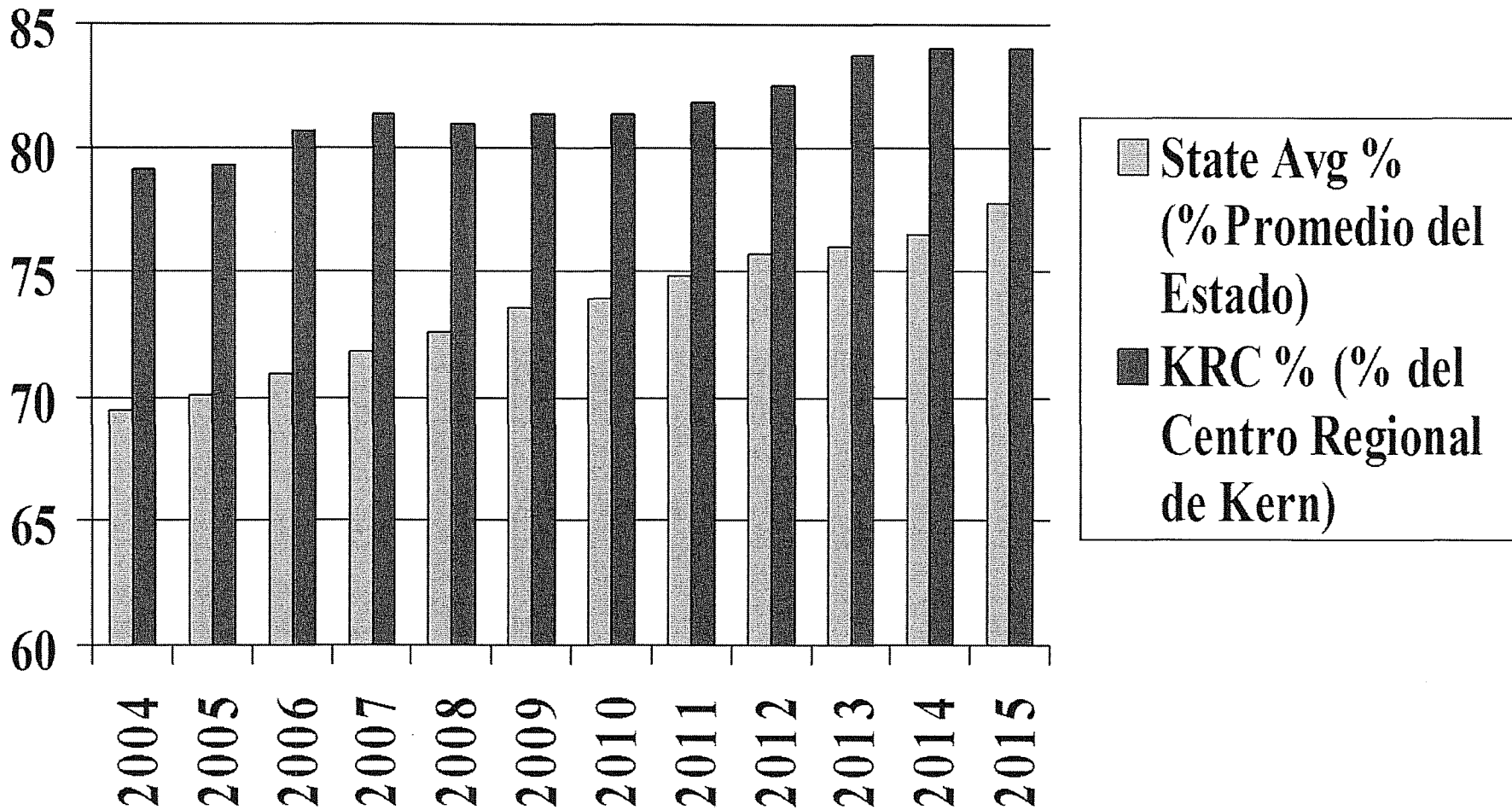
Hay menos clientes en Centros de Desarrollo



More Minors Living with Families Mas Menores Viviendo Con Sus Familias

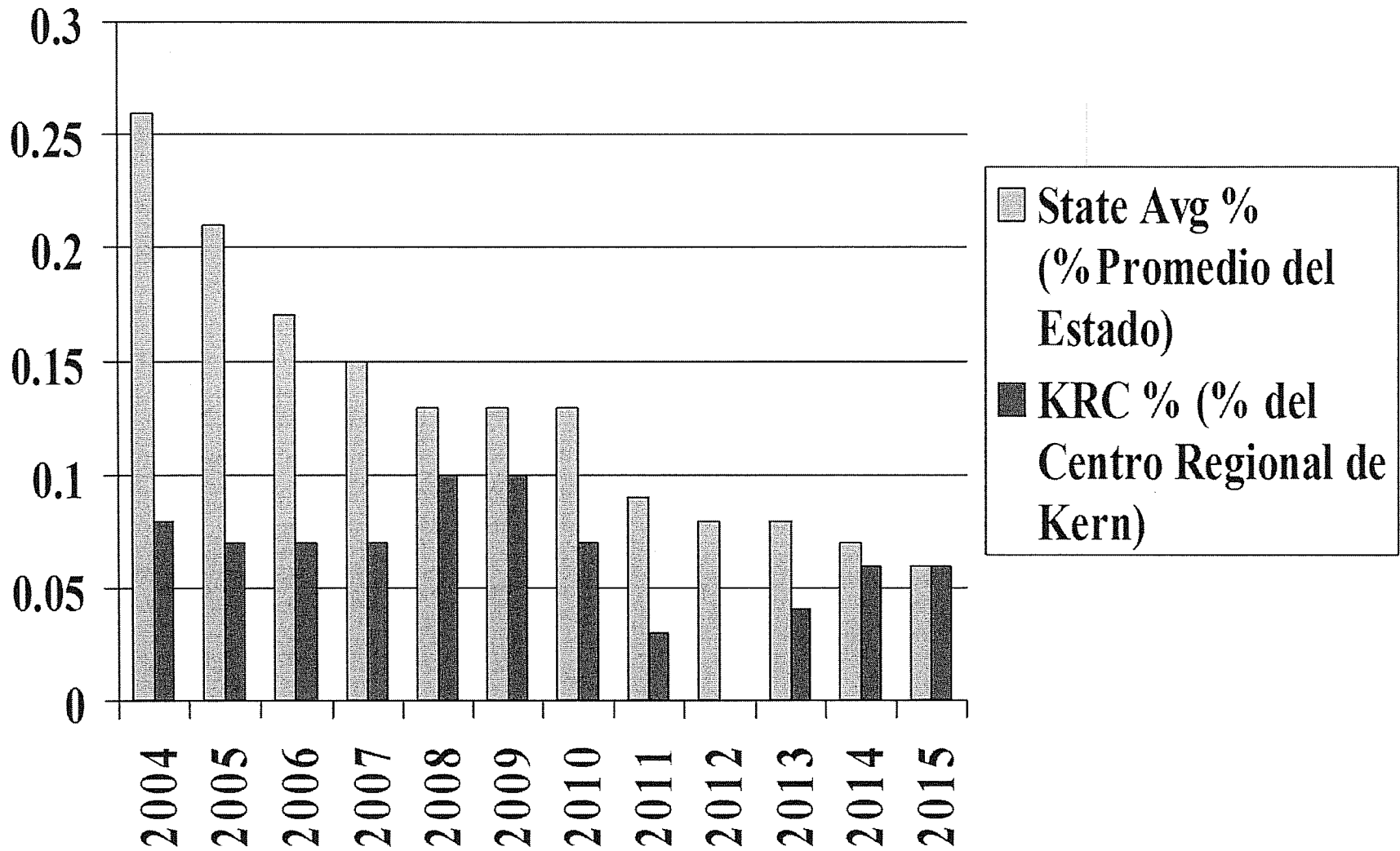


More Adults Living In Home Settings Más Adultos Vivendo En Sus Propios Hogares



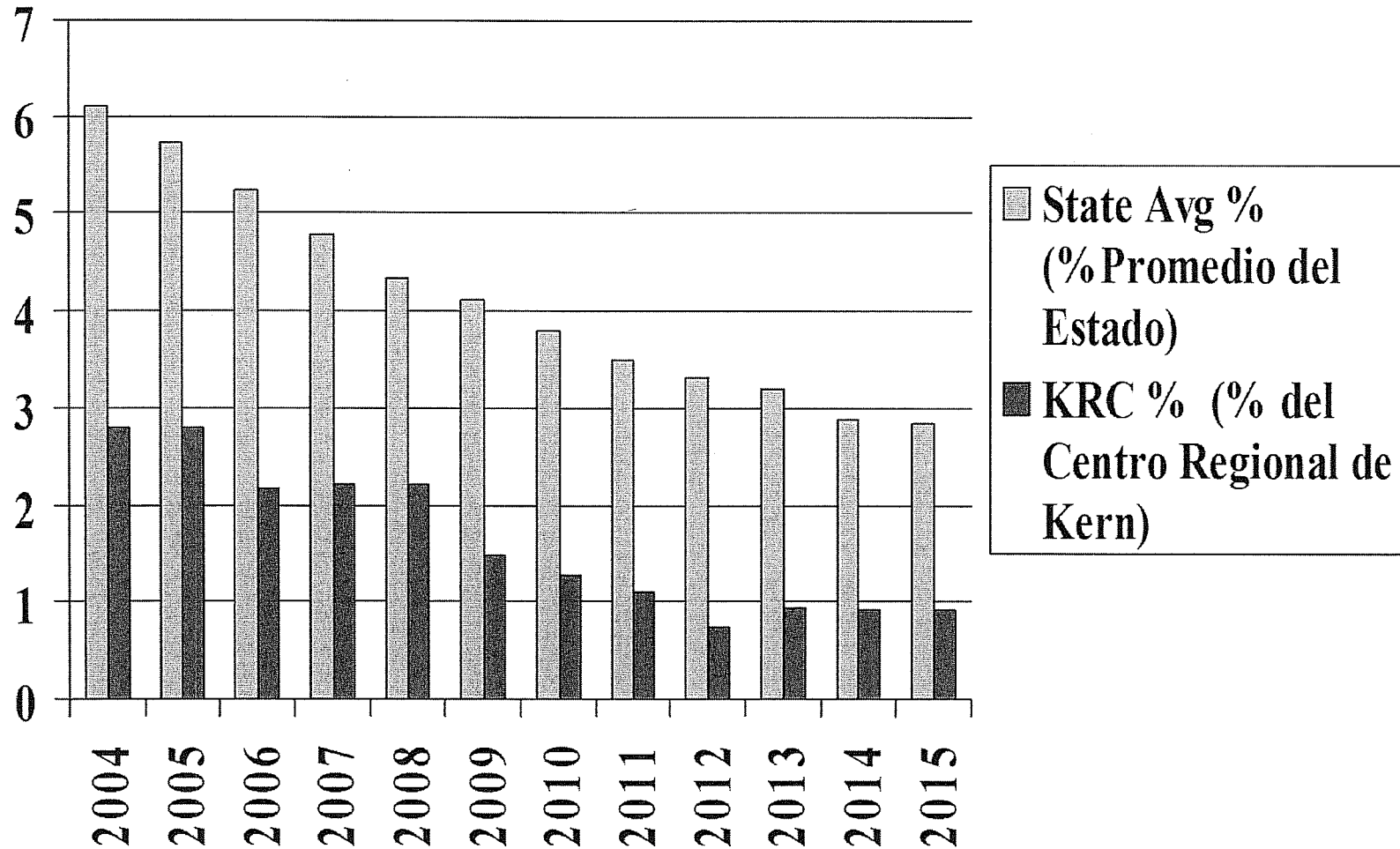
Fewer Minors Living In Large Facilities

Menos Menores Vivendo En Residencias Grandes



Fewer Adults In Large Facilities

Menos Adultos Vivendo En Residencias Grandes

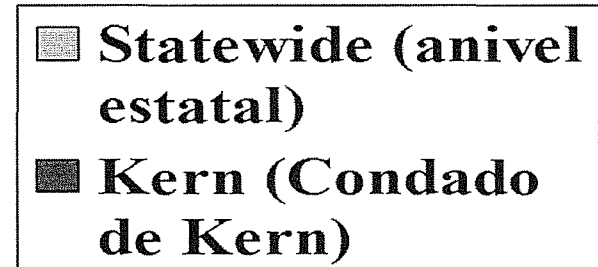
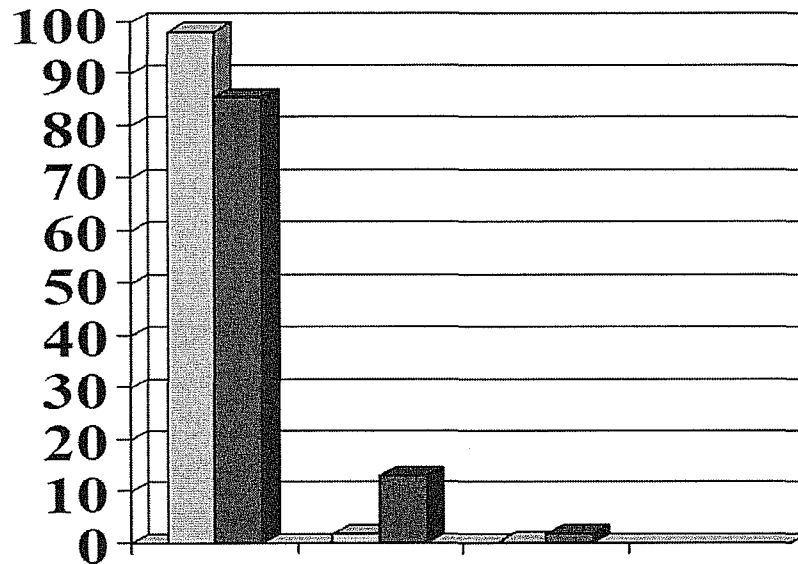


As of June 2015

Duration of Intake Over 3 years old

Desde Junio del 2015

Tiempo que se toma para hacer una evaluación de un niño(a) de 3 años o más



<142 days
días

143-240 days
días

over 240 days
días

DDS Standards

Criterios Del Departamento De Servicios De Desarrollo

AREAS MEASURED AREAS EVALUADAS	2012	2013	2014	2015
SUCESSFUL INDEPENDENT AUDIT WITH NO MAJOR PROBLEMS EXITOSA AUDITORIA INDEPENDIENTE SIN NINGUN PROBLEMA	YES SI	YES SI	YES SI	YES SI
SUCCESSFUL DDS AUDIT EXITOSA AUDITORIA POR DDS	YES SI	YES SI	YES SI	YES SI
STAYED WITHIN OPERATIONS BUDGET SE MANTUVO EL PRESUPUESTO DENTRO DE LOS GASTOS DE	YES SI	YES SI	YES SI	YES SI

DDS Standards

Criterios Del Departamento De Servicios De Desarrollo

AREAS MEASURED AREAS EVALUADAS	2012	2013	2014	2015
CERTIFIED TO PARTICIPATE IN THE FEDERAL WAIVER CERTIFICADO PARA PARTICIPAR EN EL PROGRAMA: <i>FEDERAL WAIVER</i>	YES SI	YES SI	YES SI	YES SI
SUCCESSFULLY AUDITS VENDORS EXITOSAMENTE AUDITORIA VENDEDORES	MET COMPLIDAS	MET COMPLIDAS	MET COMPLIDAS	MET COMPLIDAS
CDERS ARE UPDATED AS REQUIRED LOS CDERS SON ACTUALIZADOS COMO ES REQUERIDO	97.28	99.45%	89.57%	99.61%

DDS Standards

Criterios Del Departamento De Servicios De Desarrollo

AREAS MEASURED AREAS EVALUADAS	2011	2012	2013	2014	2015
IPP (INDIVIDUAL PROGRAM PLAN) REQUIREMENTS MET EL PLAN DE PROGRAMA INDIVIDUAL CUMPLE CON LOS REQUISITOS	99%	98%	97%	97%	TBD
IFSP (INDIVIDUAL FAMILY SERVICE PLAN) REQUIREMENTS MET EL PLAN DE SERVICIOS INDIVIDUAL FAMILIAR (IFSP) COMPLE CON LOS REQUISITOS	78%	NA	82%	85%	TBD
INTAKE/ASSESSMENT TIMELINES FOR CONSUMER AGE 3 OR OLDER MET EVALUACIONES PARA CONSUMIDORES DE 3 AÑOS O MAS FUERON CUMPLIDAS A TIEMPO	98%	98%	97%	90%	85%

PERFORMANCE CONTRACT PLAN

Regional Center Kern

Calendar Year(s) 2016

Public Policy Performance Measures

<i>Measures</i>	<i>Statewide Average 2014</i>	<i>Statewide Average 2015</i>	<i>KRC Baseline as of June 2014</i>	<i>KRC Baseline as of June 2015</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i></p>	0.38%	0.38%	0.79% 59	0.69% 53	<ul style="list-style-type: none"> • KRC will design services and identify supports that are essentials to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach and give information to community providers interested in serving this specialized population. • KRC will continue to implement the 2015-2016 Community Placement Plan (CPP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through independent living, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • KRC will inform court personnel about the community resources available to them. • Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for • Move 10 consumers from the developmental center to a less-restrictive community placement. • Begin to research and study community style homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes will be under a new licensing category, allowing consumers to be served who could not be served in a community setting.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average 2014</i>	<i>Statewide Average 2015</i>	<i>KRC Baseline as of June 2014</i>	<i>KRC Baseline as of June 2015</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
2. Number and percent of minors residing with families (own family, foster family, guardian) <i>(higher is better)</i>	99.02%	99.11%	98.81% 3,268	98.99% 3,415	<ul style="list-style-type: none"> Continue to developing programs to serve children. KRC will work with local school district and local Department of Rehabilitation (DOR) to further advance the State's "Employment First" Policy and other federal and state laws to address employment in intergrated settings, at competitive wages. Provide information and referral to parents about Family Resource Center(s).
3. Number and percent of adults residing in independent living <i>(higher is better)</i>	11.52%	11.33%	9.48% 385	9.67% 403	<ul style="list-style-type: none"> Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process. Service coordinators to assist and obtain Section 8 housing Vouchers.
4. Number and percent of adults residing in supported living <i>(higher is better)</i>	5.63%	5.60%	13.10% 532	12.67% 528	<ul style="list-style-type: none"> Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes <i>(higher is better)</i>	0.87%	0.95%	3.76% 153	4.27% 178	<ul style="list-style-type: none"> Continue to track quarterly and/or unannounced visits to be in compliance with statutory requirements.
6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i>	58.91%	59.81%	57.05% 2,317	57.40% 2,392	<ul style="list-style-type: none"> Continue to provide services and support to maintain consumers in the family home.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average 2014</i>	<i>Statewide Average 2015</i>	<i>KRC Baseline as of June</i>	<i>KRC Baseline as of</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i>	76.94%	77.69%	83.39% 3,387	84.02% 3,501	<ul style="list-style-type: none"> • See #3 through #6 above.
8. Number and percent of minors living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). <i>(lower is better)</i>	0.06%	0.06%	0.06% 2	0.06% 2	<ul style="list-style-type: none"> • Continue to identify and track children at risk of institutional placement.
9. Number and percent of adults living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). <i>(lower is better)</i>	3.03%	2.85%	0.95% 39	0.91% 38	<ul style="list-style-type: none"> • Continue to identify and track adults in large facilities..

Public Policy Performance Measures (Under Development)

The following measures are under development for future incorporation in the Performance Contract Pilot Project, upon availability of relevant data:

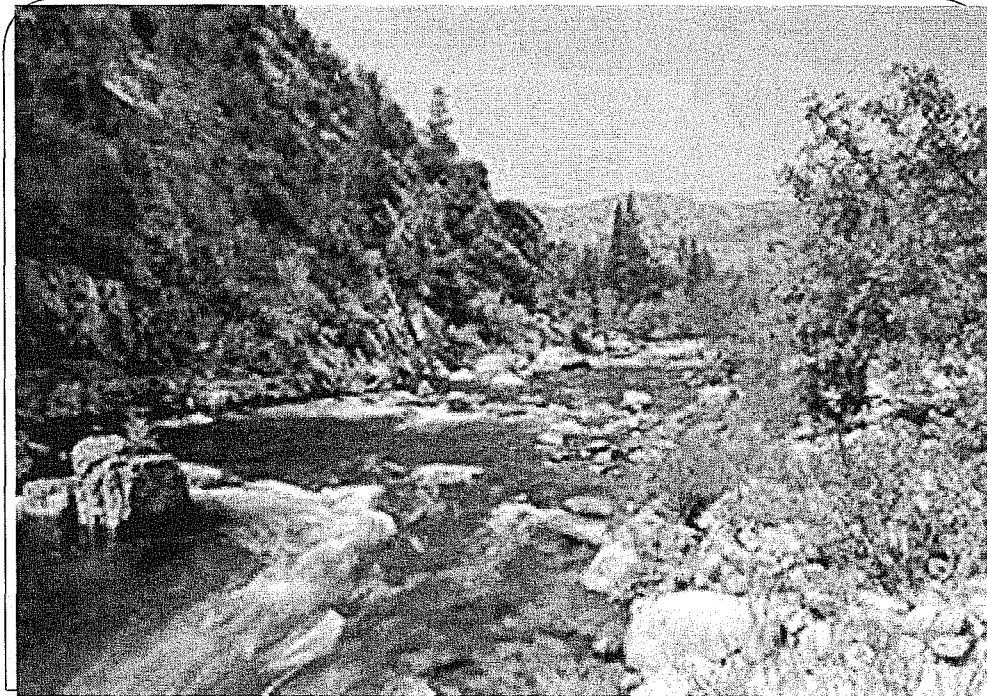
Number and percent of adults in supported employment.
Number and percent of adults with earned income and average wage (aggregate).
Number and percent of adults in competitive employment.
Number of consumers per thousand who are victim of abuse.
Access to medical and dental services.

Compliance Measures ¹UD = Under Development

Measures	Yes/No	Activities Regional Center will Employ to Achieve Outcome			
1. Unqualified independent audit with no material	Yes	Establish, apply and maintain good business practices and generally			
2. Substantial compliance with Department of Developmental Services fiscal audit	Yes	Establish, apply and maintain good business practices and generally accepted accounting principles.			
3. Accuracy percent of POS fiscal projections (based on February SOAR)	Yes	Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.			
4. Operates within OPS budget	Yes	Continue operational budget planning, ongoing utilization, review and system-wide monitoring.			
5. Certified to participate in Waiver	Yes	Maintain compliance with Medicaid Waiver requirements.			
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.	Yes	Maintain compliance with contract.			
Measures	Statewide Average 2014	Statewide Average 2015	KRC Baseline as of June 2014	KRC Baseline as of June 2015	Activities Regional Center will Employ to Achieve Outcome
7. CDER/ESR Currency	Data not available	98.19%	90.11% 6,640	99.61% 6,838	Continue to monitor timely completion of CDER/ESR.
8. Intake/assessment and IFSP time lines (0-2).	Data not available	¹ UD	¹ UD	¹ UD	Continue to monitor timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements.

Compliance Measures ¹UD = Under Development

<i>Measures</i>	<i>Statewide Average 2014</i>	<i>Statewide Average 2015</i>	<i>KRC Baseline as of June 2014</i>	<i>KRC Baseline as of June 2015</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
9. Intake/assessment time lines for consumers ages 3 and above.	96.33%	97.99%	95.33% 184	85.27% 191	Continue to monitor timely completion of intake/assessment. Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.
10. IPP Development (WIC requirements)	Data not available	Data not available	¹ UD	¹ UD	Continue to comply with all requirement of WIC 4646.5c(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act.
11. IFSP Development (Title 17 requirements).	Data not available	Data not available	Data not available	Data not available	Continue to comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services.



Kern Regional Center

Caseload Ratios

Proposed plan of action

Background

- Regional centers are required to discuss their caseload ratios and submit a proposed plan of action to the Department of Developmental Services (DDS) by June.
- Kern Regional Center was granted an extension to submit plan of action.

Public Hearings

- KRC presented caseload ratio information at:
 - ❖ KRC September Board Meeting – September 1, 2015
 - ❖ Public Meeting – September 24, 2015

Plan of Correction

With the management and staff of Kern Regional Center along with guidance of the Department of Developmental Services consultants, the plan of correction includes the following:

1. Kern Regional Center is currently facing an Operations Deficit for fiscal year 2015-2016 and is unable to hire additional Case Management staff. When the organization is in a position to add staff, they will do so.
2. KRCs Director of Client Services and Program Managers are currently conducting an internal analysis of how case management services can be delivered more efficiently and effectively.
3. For Fiscal Year 2015-2016 Kern Regional Center will meet the necessary timeline for Case Ratio reporting.
4. Based on Board Approval of this plan, a letter will be sent to the Department of Developmental Services.

KERN REGIONAL CENTER
 BUDGET AND EXPENSE REPORT
 FY 2015/2016
 AS OF AUG 31, 2015

	Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7
(1) - OPERATIONS	Preliminary Allocation - B Series	B-1 Intent	Total Allocation To Date thru B-1 Intent - Col. 1 + Col. 2	Operations By Claim to State July to Aug 2015	Projected - Sep 2015 to June 2016	Total Projected - Col. 4 + Col. 5	Projected Balance - Col. 3 - Col. 6
GENERAL							
Salaries and Benefits	\$ 12,887,441	\$ 273,132	\$ 13,160,573	\$ 2,556,639	\$ 11,135,189	\$ 13,691,828	
Operating Expenses		2,978,853	2,978,853	584,216	3,237,478	3,821,693	
TOTAL PERSONNEL SERVICES	12,887,441	3,251,985	16,139,426	3,140,854	14,372,667	17,513,521	(1,374,095)
Less: Interest Income				(5,364)	(39,636)	(45,000)	45,000
Less: ICF SPA Fees (see Note 2)				(7,480)	(42,520)	(50,000)	50,000
Less: Other Income				(3,209)	(1,791)	(5,000)	5,000
SUBTOTAL GENERAL	\$ 12,887,441	\$ 3,251,985	\$ 16,139,426	\$ 3,124,801	\$ 14,288,720	\$ 17,413,521	\$(1,274,095)
Percentage			100%	19.36%	88.53%		-7.89%
COMMUNITY PLACEMENT PLAN (CPP)							
Salaries & Benefits		\$ 397,291	\$ 397,291	\$ 75,067	\$ 382,033	\$ 457,100	
Operating Expenses		-	-	13,215	59,351	72,566	
SUBTOTAL CPP	\$ -	\$ 397,291	\$ 397,291	\$ 88,282	\$ 441,384	\$ 529,666	\$(132,375)
FOSTERGRANDPARENT (FGP)							
Salaries & Benefits		\$ 59,043	\$ 59,043	\$ 11,926	\$ 47,117	\$ 59,043	
Operating Expenses		112,977	112,977	12,095	100,882	112,977	
SUBTOTAL FGP	\$ -	\$ 172,020	\$ 172,020	\$ 24,022	\$ 147,998	\$ 172,020	-
GRAND TOTAL OPERATIONS	\$ 12,887,441	\$ 3,821,296	\$ 16,708,737	\$ 3,237,105	\$ 14,878,102	\$ 18,115,207	\$(1,406,470)
Percentage			100%	19.37%	89.04%		-8.42%

Note 1: The "Projected Balance" is an estimate of the difference between KRC's funding allocation from DDS (col. 3), and Total Projected (col. 6)

Note 2: The Intermediate Care Facility State Plan Amendment (ICF SPA) administrative fees are included in this fiscal year as an offset to current year expenditures. The fees are 1.5% of current year day program and transportation costs for KRC clients that resided in ICF's.

KERN REGIONAL CENTER
 BUDGET AND EXPENSE REPORT
 FY 2015/2016
 AS OF AUG 31, 2015

	Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7
(2) - PURCHASE OF SERVICES	Preliminary Allocation - B Series	B-1 Intent	Total Allocation To Date thru B-1 Intent - Col.1 + Col. 2	Operations By Claim to State - July to Aug 2015	Projected - Sep 2015 to June 2016	Total Projected - Col. 4 + Col. 5	Projected Balance - Col. 3 - Col. 6
GENERAL							
Out of Home Care	\$ 30,674,641		\$ 30,674,641	\$ 4,994,214	\$ 25,680,427	\$ 30,674,641	\$ -
Day Programs	25,803,436		25,803,436	4,165,294	23,981,273	28,146,567	(2,343,131)
Other Services	57,861,063	27,357,367	85,218,430	11,852,218	74,087,031	85,939,249	(720,819)
SUBTOTAL GENERAL	114,339,140	27,357,367	141,696,507	21,011,725	123,748,732	144,760,457	(3,063,950)
Less: ICF SPA Funded				(498,673)	(2,679,335)	(3,063,950)	3,063,950
TOTAL GENERAL	\$ 114,339,140	\$ 27,357,367	\$ 141,696,507	\$ 20,513,052	\$ 121,069,397	\$ 141,696,507	\$ -
Percentage			100%	14.48%	85.44%		0%
COMMUNITY PLACEMENT PLAN (CPP)							
Out of Home Care		\$ 315,169	\$ 315,169	\$ 2,854	\$ 312,315	\$ 315,169	0
Day Programs			0		(2,412)	0	0
Other Services		714,550	714,550	3,233	711,317	714,550	0
TOTAL CPP	\$ -	\$ 1,029,719	\$ 1,029,719	\$ 6,087	\$ 1,021,220	\$ 1,029,719	\$ -
Percentage			100%	0.59%	99.17%		0%
TOTAL PURCHASE OF SERVICE	\$ 114,339,140	\$ 28,387,086	\$ 142,726,226	\$ 20,519,139	\$ 122,090,616	\$ 142,726,226	\$ -
Percentage			100%	14.38%	85.54%		0%
GRAND TOTAL ALL BUDGETS	\$ 127,226,581	\$ 32,208,382	\$ 159,434,963	\$ 23,756,244	\$ 136,968,718	\$ 160,841,433	\$ (1,406,470)
Percentage			100%	14.90%	85.91%		-0.88%

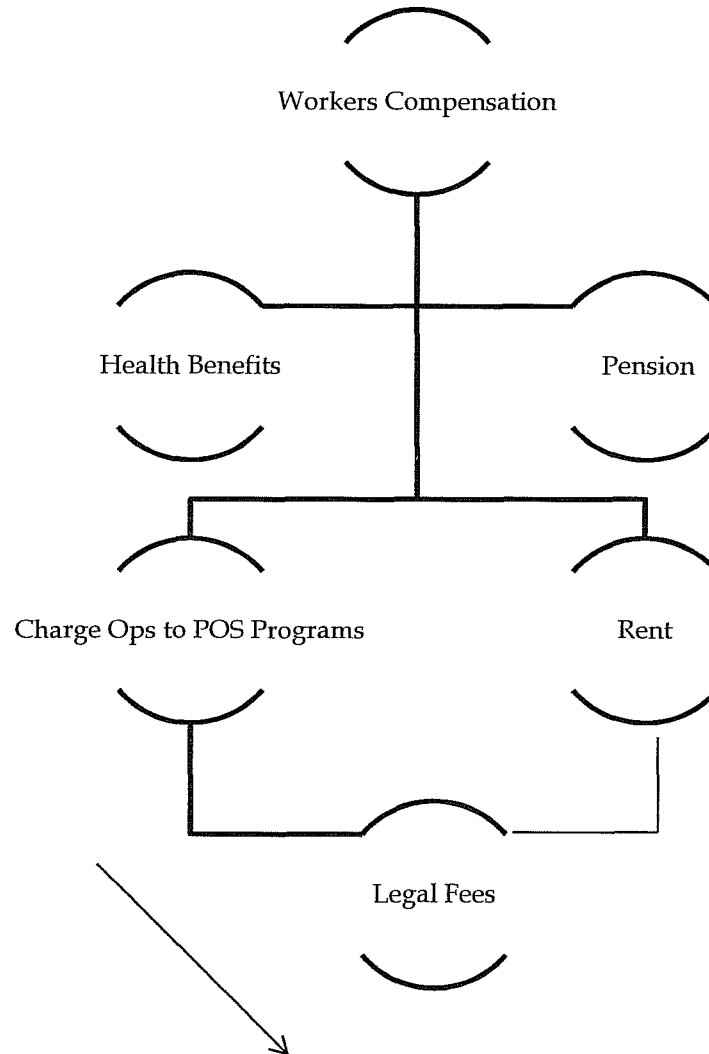
Note 1: The "Projected Balance" is an estimate of the difference between KRC's funding allocation from DDS (col. 3), and Total Projected (col. 6)

Note 2: Reimbursement for the estimated costs of day program and transportation for Intermediate Care Facility State Plan Amendment clients is not funded by DDS's Standard Agreement. The reimbursement to KRC will be provided from the SPA ICF's within the catchment area.

**FY 15 -16
OPERATIONS BUDGET DEFICIT
OVERVIEW**

Kern Regional Center

Deficit - Cost Drivers



Deficit – Workers Compensation

- ▣ Experience Modification Rate
- ▣ Cost

Deficit – Health Benefits

- ▣ KRC Enrolled in CAL PERS System
- ▣ CAL PERS Determines the Rates
- ▣ Cost
- ▣ Post Retirement Benefit
- ▣ Cost

Deficit – DB Pension

- ▣ ERISA Based
- ▣ Private Plan
- ▣ Pension Protection Act - Seven Year Funding
- ▣ Cost

Deficit - Charge Operations to POS Programs

- POS Program
- Personnel Time
- DDS May 2013 Audit
- Cost

Deficit - Rent

- Headquarters - 3200 and 3300 North Sillect
 - Financed by Bond
 - Lease Agreement with the DSSF
 - 3% Escalation Each Year
 - Cost

Deficit - Legal Fees

- Types of Legal Fees
 - General
 - Labor
 - ERISA
 - Cost

SLS Task Force
9/10/2015
Summary

- Representatives from 14 different SLS agencies were in attendance.
- John Noriega discussed the purpose and importance of vendor advisory letters for late SIR's and missed medications.
- Discussion on IHSS & SLS and regulations. KRC will look into and develop procedures to implement and ensure compliance with W&I code 4689.05. Once developed, it will be disseminated to all SLS agencies. A copy of the DDS directive regarding IHSS county wages and new trailer bill language requirements dated August 26, 2009 and W&I code 4689.05 was distributed to SLS agencies.
- Discussion on challenges SLS agencies encounter in receiving consumers rent/food checks from payees.
- Dana Hutchison provided portal training and was available to answer questions.