

**KERN REGIONAL CENTER**

3200 N. Sillect Avenue • Bakersfield, CA 93308 • (661) 327-8531

**Board of Directors Meeting**

**6:00 p.m. – 7:30 p.m.**

**KRC Malibu Conference Room**

**3300 N. Sillect Ave.**

**Bakersfield, CA 93308**

**MINUTES**

**April 24, 2018**

Present Board Members:

Kurt Van Sciver

Kevin Gosselin

Matthew LaGrand

Lorie Stewart

Mitzi Villalon

Quanah Mason

Oscar Axume

Nickole Mensch

**GENERAL BUSINESS**

**1. Executive Session**

**2. Call to Order and Introductions**

The meeting was called to order at approximately 6:00 p.m. by Kurt Van Sciver. It was determined that a quorum was present.

**3. Approval/Additions to Agenda**

- A. Regular Meeting of the Board of Directors Agenda dated April 24, 2018.  
**M/S/C** – (Van Sciver/Gosselin) to approve agenda with alteration – Nickole Mensch to take the Client Representative spot on the agenda. 8-0-0.

**4. Meeting Minute Approval**

- A. Minutes of the Regular Meeting of the Board of Directors held March 27, 2018.  
**M/S/C** – (Mensch/Mason) to approve minutes of the regular meeting of the Board of Directors held March 27, 2018. 6-0-2 (Van Sciver/Stewart).

**5. Public Input**

- A. Eliza, family leader at Kern Down Syndrome Network, spoke on the upcoming Down Syndrome Summit on June 2<sup>nd</sup>. The focus for this event is to reach out to rural communities such as Delano, Shafter, Arvin and many others as well as families who face disparities in our communities. National and Regional experts in their fields will be present to provide advice and discussion on their specific topics. Kern Down Syndrome Network would like to provide families with resources within their communities so that they do not have to travel for these resources. The cost of attendance has been lowered

to \$75.00 per individual. The price cannot be lowered any further and there is a concern that families will have difficulties meeting this cost. Eliza asked for assistance in getting the National Down Syndrome Congress vendorized so that payment can be made directly to them for this event or that KRC provide families with checks for this event in advance. Eliza requested an email regarding the vendorization at [info@kerndsn.org](mailto:info@kerndsn.org) and keep them updated on the vendorization status. The event will take place in Bakersfield at the University of Laverne on Stockdale Hwy.

- B. **Matthew Lagrand** – Matthew spoke about his and his father’s vision for the future of California. Matthew’s dad had a vision for the people with disabilities to make sure that they have a place work and to live at and receive services. The people with special needs are hurting right now in California as California is in bad shape right now. Matthew will be talking to clients about this as well.

## UNFINISHED BUSINESS

### REPORTS

#### 6. Client Representative

- a. Nickole Mensch, President of People First, informed the Board and the Public that their food pantry does not presently have funds to purchase food. They are accepting monetary and food donations for the food pantry. If youd like to make a donation, please email Nickole at [nickolereeneemensch@att.net](mailto:nickolereeneemensch@att.net) or Tamerla Prince at [tamerla@positivepurpose.net](mailto:tamerla@positivepurpose.net).

#### 7. Board President Report

- a. Kurt Van Sciver welcomed Mitzi Villalon to the Board. Mitzi Villalon is the new Vendor Advisory Committee representative.
- b. Kurt thanked Laurie Hughey, who was present in the audience, for her service to the Board.
- c. Max Jackson and Jim Freyvogel will be departing from Kern Regional Center this month. Kurt thanked them both for the tremendous changes they’ve made and their great contributions to KRC.
- d. Jon Gusman will also be retiring form KRC this month. Jon has been a rock at KRC for a very long time. Kern and the Board is very thankful for his years of dedication to the Regional Center.
- e. Kurt requested that the Board consider their nominations for Board Officers as he would like to hold elections at the next Board Meeting.

#### 8. Financial Report

- A. Jon Gusman presented his financial report.
- a. Please see **Attachment 1**.
- b. Kern’s cash flow as of today is \$23.3 million.
- c. Kern received \$13.5 million in April for the February claim.
- d. Kern has received \$22 million for the D2 contract amendment. Of this amount, through March of 2018, Kern has spent \$16.2 million.
- e. The total amount to be spent, estimated through June 2018, is \$21.45 million, leaving a projected balance of \$575,000.

- f. Kern has received, through the D2 contract amendment, \$158.7 million for purchase of service. Of this amount, through March of 2018, Kern has spent \$111.49 million.
- g. The total amount to be spent, estimated through June 2018, is \$156.5 million, leaving a projected balance of \$2.1 million.
- h. The Statewide Purchase of Service projected deficit rests at \$44.43 million.
- i. DDS plans to receive the D3 contract amendment, the latest amendment for fiscal year 17/18.
- j. Jon Gusman has requested a motion to have the Board President sign the D3 contract amendment upon arrival.  
**M/S/C** – (Van Sciver/Axume) to allow Board President sign the D3 Contract Amendment upon arrival. 8-0-0.

**B. Signature Card**

- a. The State Contract stipulates that signature cards are to be prepared in accordance with procedures specified by the State. From time to time, the State will request that Regional Centers update their signature cards, or it is the Regional Center’s responsibility to update the signature cards when there is a need.
- b. Jon Gusman requests a motion from the Board to remove Jon Gusman, Chief Financial Officer, as contracting officer on the master signature card agreement with Union Bank and to add Michi Gates, Executive Director, as one of two contracting officers to the master signature card agreement.  
**M/S/C** – (Mensch/Villalon) to remove Jon Gusman, CFO, as contracting officer on the master signature card agreement and to add Michi Gates, ED, as one of two contracting officers. 8-0-0.

**9. Executive Director’s Report**

- a. Michi Gates is greatly enjoying her time in Bakersfield and at Kern Regional Center. She has been visiting the branch offices, which include Delano, Shafter, Bishop, Ridgecrest and Taft.
- b. KRC is looking to add a third service coordinator to the Bishop Branch office as there are only two service coordinators. The two service coordinators carry high caseloads and their work is exasperated by the long travel times to visit clients. Michael Bowers, Program Manager in Bishop, carries half a caseload as well.
- c. Bishop and Ridgecrest offices have concerns over lack of resources. Service Coordinators wish to provide better services to their individuals and families. KRC will work on developing more resources in these areas.
- d. During Michi’s visit to Taft, she also visited the Taft Independent Living Program. Amy McNinch, Service Coordinator in Taft, is very well known and has a great rapport with the community. Individuals at the program along with staff built an amazing garden from scratch.
- e. Beth Himelhoch from Inyo and Mono Association for the Handicapped hosted a community meeting for Bishop. Michi obtained a lot of good feedback from the community about services and improvements they would like to see. Michael Bowers, Celia Pinal and Cherylle Mallinson were all in attendance.

- f. Michi also attended the Self Determination informational meeting that Celia Pinal and Cherylle Mallinson held in Bishop. Rick Wood is a very strong advocate for that program and was there along with other folks involved in the pilot program. Regional Centers are very close to obtaining approval from CMS for this program.
- g. Michi also thanked Tamica-Foots-Rachal from the State Council on Developmental Disabilities as she helped coordinate the community and Self Determination meetings.
- h. Michi has attended the Community Services Department Unit Meeting as well as the Early Start Unit Meeting. Michi was impressed by the information provided to staff and by the really good questions service coordinators had. Michi has noticed how engaged, committed and skilled staff are at KRC.
- i. Kern has the highest client to service coordinator in the State. This is something KRC dedicated to correcting. Two service coordinators have completed their New Employee orientation; three more will be starting orientation on Monday. Kern has 24 growth service coordinator positions that will be added by August. Kern will continue to advertise and hire aggressively for those positions. Kern is particularly looking for bilingual service coordinators.
- j. Four Program Managers have been hired and will be starting in their new positions in June; Ana Guerra (bilingual, Transition), Yesenia Mackie (bilingual, Adult), Amy McNinch (Adult) and Sarah Fechner (Transition). KRC will ensure that there is coverage for their caseloads before the transition takes place.
- k. Michi thanked Shannon for the amazing training program that she has instituted at KRC. Shannon has brought KRC a long way in a very short period of time. It is very important to onboard new staff in a very positive way and to give them the tools to be successful.
- l. Chief Financial Officer interviews have taken place. Jon Gusman is a person of great integrity and has kept Kern in the right path through some very complex times. Kern owes a lot to Jon. May 1<sup>st</sup> will be his last day as an employee but Kern will continue to consult with Jon as a contractor. Thank you, Jon.
- m. The paint and furniture project for the Bakersfield office has been completed. There is new paint and new furniture throughout the building. Staff telecommuted for approximately two weeks to allow for this process to take place and are now back in their offices. Armondo Cordova completed ergonomical assessments for all staff. Thanks to all the staff that assisted with this project.
- n. Jim Freyvogel created the Building and Office Décor team to establish a protocol as to what could be brought back into the offices. Refrigerators have been added to the break rooms so staff will not require mini refrigerators in their offices.
- o. There is new audio visual equipment in three of the main conference rooms, including the Malibu Room. This equipment will allow Kern to join branch office people in to meetings at the main office. Thanks to Sheryl Rodriguez for the updates in the building and technology.
- p. KRC staff, including Michi Gates, Celia Pinal, Karina Proffer and Ana Guerra, attended the Spanish Conference this past weekend. Karina Proffer and Ana Guerra translated for Michi Gates. The presentations were very inspiring; Celia

was one of the presenters and did an excellent job in explaining Regional Center services.

- q. Some Statewide issues going around include the 1915(i) state plan amendment due to the workload associated with that; it does not come with any additional operations funds to hire staff to do the workload.
- r. There is a lot of discussion as to what measure should be used to measure disparity data.
- s. Max Jackson's last day will be Thursday, Jim Freyvogel's last day will be Friday. They've both brought forth an amazing transformation and KRC is very thankful for their time and dedication.

## **10. Vendor Advisory Committee**

- a. The Vendor Advisory Committee did not meet this month; the next meeting will be May 22, 2018 at 10:00 am in the Malibu Room.
- b. BARC is having their 10<sup>th</sup> annual Celebrity Waiter luncheon from 11:30 am to 1:00 pm on April 25<sup>th</sup>. The luncheon will take place at the DoubleTree.
- c. SLS Taskforce meeting will be meeting in the Malibu Room April 25<sup>th</sup> at 10:00 am.
- d. On May 10<sup>th</sup>, Pathpoint will be holding an open house for their project search at Mercy Hospital Southwest from 12:00 pm to 1:30 pm.

## **11. Staff Report**

### **A. Columbus Group**

- a. The Columbus group came to KRC approximately a year ago. In their time at Kern, they've noticed a growth in staff and willingness to change. This Board and this staff were ready to see some better things happen. Max's advice, anything that happens, think teams. The Columbus team has complete confidence that staff will be able to tackle any problem that comes their way. Columbus recognizes the leadership group as they rose to the occasion and found a way to be supportive of the team concept.
- b. Jim Freyvogel expressed that the Columbus team got as much out of their experience at Kern as they put in. Jim will be doing training up until Friday, his last day. Servant leadership will be his last training at Kern for senior management.

### **B. Purchase of Service Disparity Presentation**

- a. The presentation is attached. Please see **attachment 2**. The full report, 65 pages long, can be found on the website.
- b. The purpose of this presentation was to share the summarized information with the public. This in accordance to W&I §4519.5. Feedback must be reported back to the Department of Developmental Services.
- c. Celia Pinal and Kristine Khuu provided the presentation at Ridgecrest, Bishop, Delano and Bakersfield. The Bakersfield presentation was attended nicely by consumers, vendors and families. The outlining areas were not as well attended. One of the key findings was that parents wanted their Service Coordinators to be very well prepared and be able to explain the range of services. Parents also want more education on the services that are being offered and why the services are important. There was also a confusion about the lack of understanding as to

what services are offered for different age ranges. Currently, Units have specialized service coordinators in the various age groups. A concern was insufficient outreach; Kern has been doing more community outreach lately and the community partners are pleased to see KRC involved. Cultural emphasis to Hispanic families who do not question authority as they feel they don't want to be disrespectful; also due to the political climate at this time, they feel there may be some kind of retribution. Life circumstances related to work demands and lack of resources prevent families from utilizing services. A reader friendly IPP report was requested by families. Families have also requested a survey to obtain feedback from clients/parents related to their KRC interactions. Eva Rocha has created a survey that will be reviewed. Families requested that KRC explore the possibility of livestreaming meetings. It was suggested that a parent advisory committee be formed to meet quarterly and a vendor fair targeted for parents and clients with information on service providers.

- d. Purchase of Services are written without POS's being fully utilized.

### **C. Self Determination**

- a. Celia Pinal and Cherylle Mallinson have visited Delano, Taft, Tehachapi, Ridgecrest and Bishop for the Self Determination informational meetings. Two meetings were held in Bakersfield. Exceptional Families hosted the Spanish meeting in Bakersfield.
- b. Approximately 83 individuals were added to the self-determination list; this puts Kern over the 103 available slots.
- c. The next self-determination meeting will take place in June.

### **D. HCBS/Disparity Update**

- a. Community Services has been helping providers meet the 1915(i); they are working collectively to get person centered planning training for KRC staff.
- b. New grant for FY 17/18 in Inyo & Mono counties focusing on the Native Americans. A new position has been posted for that specific grant.

### **E. Community Placement Plan**

- a. Development Update
  - i. One home will be licensed by April 27<sup>th</sup>; this will be the first of 16/17 CPP plan. The vendor will have an open house in May.
  - ii. Two homes for 16/17 CPP plan have closed escrow and are now finishing up paperwork. The next step will be to meet with architect and create floor plan.
- b. RFP Action Item to Revoke Award
  - i. Ridgecrest community is lacking resources and continues to be limited. The community has requested Early Start services, residential homes and supported living support services.
  - ii. On February 20, 2018, KRC posted three Request for Proposals for Early Start services for infant and toddlers, Residential Care for the Elderly, and Supported Living Services for the Ridgecrest and surrounding areas. KRC was able to complete the RFP process and found qualified individuals for the RCFE and SLS services. There were no qualified applicants for ES

services. California Mentor, qualified for ES services, expressed interest during an open house.

- iii. Cherylle Mallinson requested a motion from the Board to approve direct procurement with California Mentors for the Early Start Services in the Ridgecrest and surrounding areas.

**M/S/C** – (Van Sciver/Mason) to allow direct procurement between KRC and California Mentor for Early Start services in the Ridgecrest and surrounding areas. 7-0-1 (Villalon).

c. RFP Action Item to Revoke Award

- i. In May of 2007, the Board granted approval to grant CPP projects 16/17-1, 16/17-6 and 16/17-9 to ResCare. These projects were to develop two Adult Residential Facilities for Persons with Specialized Healthcare Needs and one Adult Day Program. Since the award, KRC has lost confidence in ResCares ability to complete the projects. On March 28, 2018, KRC met with ResCare representatives to inform them of KRC’s intention to recommend to the Board of Directors to revoke all three of the awards from ResCare based on their failure to meet timelines as set forth in their timeline development along with serious concerns about communication between ResCare and KRC.

- ii. Cherylle Mallinson requested a motion of the board to revoke all three projects, 16/17-1, 16/17-6 and 16/17-9; two Adult Residential Facilities for Persons with Specialized Healthcare Needs and one Adult Day Program.

**M/S/C** – (Stewart/Axume) to revoke all three projects, 16/17-1, 16/17-6 and 16/17-9; two Adult Residential Facilities for Persons with Specialized Healthcare Needs and one Adult Day Program. 7-0-1 (Villalon).

d. RFP Action Item to Award 16/17 Fiscal Year Project

- i. Project 16/17-1 is owned by Brilliant Corners, Housing Development Organization. In order to continue 16/17 fiscal year funding and not pull funding from a different year, it must be awarded to the next qualified vendor that part-took in the RFP process. The next qualified vendor is California Mentor.

- ii. Funds for projects 16/17-6 may have to be reverted back to the State. Cherylle Mallinson is following up with the State.

- iii. Cherylle Mallinson makes a motion to award grant for projects 16/17-1 and 16/17-9 to California Mentor.

**M/S/C** – (Mensch/Gosselin) to award projects 16/17-1 and 16/17-9 to California Mentor. 7-0-1 (Villalon).

**BOARD TRAINING**

**NEW BUSINESS**

**GOOD AND WELFARE**

a. Meeting is adjourned at approximately 7:10 p.m.

The next Regular Meeting of the Board of Directors is scheduled for Tuesday, May 22<sup>nd</sup>, 2018, at 6:00 p.m. in the Malibu Conference Room at the Kern Regional Center.

Note: Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Administrative Assistant during regular business hours at 661-852-3360.

Respectfully submitted: \_\_\_\_\_  
Eva Rocha



Action Items:

**M/S/C** – (Van Sciver/Gosselin) to approve agenda with alteration – Nickole Mensch to take the Client Representative spot on the agenda. 8-0-0.

**M/S/C** – (Mensch/Mason) to approve minutes of the regular meeting of the Board of Directors held March 27, 2018. 6-0-2 (Van Sciver/Stewart).

**M/S/C** – (Van Sciver/Axume) to allow Board President sign the D3 Contract Amendment upon arrival. 8-0-0.

**M/S/C** – (Mensch/Villalon) to remove Jon Gusman, CFO, as contracting officer on the master signature card agreement and to add Michi Gates, ED, as one of two contracting officers. 8-0-0.

**M/S/C** – (Van Sciver/Mason) to allow direct procurement between KRC and California Mentor for Early Start services in the Ridgecrest and surrounding areas. 7-0-1 (Villalon).

**M/S/C** – (Stewart/Axume) to revoke all three projects, 16/17-1, 16/17-6 and 16/17-9; two Adult Residential Facilities for Persons with Specialized Healthcare Needs and one Adult Day Program. 7-0-1 (Villalon).

**M/S/C** – (Mensch/Gosselin) to award projects 16/17-1 and 16/17-9 to California Mentor. 7-0-1 (Villalon).

KERN REGIONAL CENTER  
 BUDGET AND EXPENSE REPORT  
 FY 2017/2018  
 AS OF MAR 31, 2018

	Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
(1) - OPERATIONS	Preliminary Allocation - D Series	D-1 Allocation	D-2 Allocation	Total Allocation To Date thru D-2 Allocation - Col. 1 + Col. 2 + Col. 3	Operations By Claim to State - July thru Mar 2018	Projected - Apr to June 2018	Total Projected - Col. 5 + Col. 6	Projected Balance - Col. 4 - Col. 7
GENERAL								
Salaries and Benefits	\$ 14,701,866	\$ 1,257,639	\$ 578,709	\$ 16,538,214	\$ 11,558,376	\$ 3,257,898	\$ 14,816,274	
Operating Expenses		3,504,480	964,167	4,468,647	4,369,276	1,356,050	5,725,326	
<b>TOTAL PERSONNEL SERVICES</b>	<b>14,701,866</b>	<b>4,762,119</b>	<b>1,542,876</b>	<b>21,006,861</b>	<b>15,927,652</b>	<b>4,613,948</b>	<b>20,541,600</b>	<b>465,261</b>
Less: Interest Income					(61,551)	1,551	(60,000)	60,000
Less: ICF SPA Fees ( see Note 2)					(42,650)	(2,350)	(45,000)	45,000
Less: Other Income					(12,519)	7,519	(5,000)	5,000
<b>SUBTOTAL GENERAL</b>	<b>\$ 14,701,866</b>	<b>\$ 4,762,119</b>	<b>\$ 1,542,876</b>	<b>\$ 21,006,861</b>	<b>\$ 15,810,932</b>	<b>\$ 4,620,668</b>	<b>\$ 20,431,600</b>	<b>\$ 575,261</b>
Percentage				100%	75.27%	22.00%		2.74%
COMMUNITY PLACEMENT PLAN (CPP)								
Salaries & Benefits		\$ 480,613		\$ 480,613	\$ 308,039	\$ 235,085	\$ 543,124	
Operating Expenses		235,152	127,144	362,296	21,127	278,658	299,785	
<b>SUBTOTAL CPP</b>	<b>\$ -</b>	<b>\$ 715,765</b>	<b>\$ 127,144</b>	<b>\$ 842,909</b>	<b>\$ 329,166</b>	<b>\$ 513,743</b>	<b>\$ 842,909</b>	<b>\$ -</b>
FOSTERGRANDPARENT (FGP)								
Salaries & Benefits		\$ 59,043		\$ 59,043	\$ 52,444	\$ 6,599	\$ 59,043	
Operating Expenses		26,967	89,996	116,963	73,621	43,342	116,963	
<b>SUBTOTAL FGP</b>	<b>\$ -</b>	<b>\$ 86,010</b>	<b>\$ 89,996</b>	<b>\$ 176,006</b>	<b>\$ 126,065</b>	<b>\$ 49,941</b>	<b>\$ 176,006</b>	<b>\$ -</b>
<b>GRAND TOTAL OPERATIONS</b>	<b>\$ 14,701,866</b>	<b>\$ 5,563,894</b>	<b>\$ 1,760,016</b>	<b>\$ 22,025,776</b>	<b>\$ 16,266,162</b>	<b>\$ 5,184,353</b>	<b>\$ 21,450,515</b>	<b>\$ 575,261</b>
Percentage				100%	73.85%	23.54%		2.61%

Note 1: The "Projected Balance" is an estimate of the difference between KRC's funding allocation from DDS (col. 4), and Total Projected (col. 7)

Note 2: The Intermediate Care Facility State Plan Amendment (ICF SPA) administrative fees are included in this fiscal year as an offset to current year expenditures. The fees are 1.5% of current year day program and transportation costs for KRC clients that resided in ICF's.

KERN REGIONAL CENTER  
 BUDGET AND EXPENSE REPORT  
 FY 2017/2018  
 AS OF MAR 31, 2018

	Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
(2) - PURCHASE OF SERVICES	Preliminary Allocation - D Series	D-1 Allocation	D-2 Allocation	Total Allocation To Date thru D-2 Alloc - Col. 1 + Col. 2 + Col. 3	Purchase of Service By Claim to State - July thru Mar 2018	Projected - Apr to June 2018	Total Projected - Col. 5 + Col. 6	Projected Balance - Col. 4 - Col. 7
GENERAL								
Out of Home Care	\$ 28,787,609	\$ 7,129,944		\$ 35,917,553	\$ 26,717,828	\$ 9,754,303	\$ 36,472,131	\$ (554,578)
Day Programs	22,216,516	7,274,193		29,490,709	22,139,086	8,545,330	30,684,416	(1,193,707)
Other Services	71,522,176	20,198,865	127,880	91,848,721	64,741,158	26,934,659	91,675,817	172,904
<b>SUBTOTAL GENERAL</b>	<b>122,526,301</b>	<b>34,602,802</b>	<b>127,880</b>	<b>157,256,983</b>	<b>113,598,072</b>	<b>45,234,293</b>	<b>158,832,364</b>	<b>(1,575,381)</b>
Less: ICF SPA Funded					(2,407,025)	(1,306,107)	(3,713,132)	3,713,132
<b>TOTAL GENERAL</b>	<b>\$ 122,526,301</b>	<b>\$ 34,602,802</b>	<b>\$ 127,880</b>	<b>\$ 157,256,983</b>	<b>\$ 111,191,047</b>	<b>\$ 43,928,185</b>	<b>\$ 155,119,232</b>	<b>\$ 2,137,751</b>
Percentage				100%	70.71%	27.93%		1.36%
COMMUNITY PLACEMENT PLAN (CPP)								
Out of Home Care		\$ 256,718	\$ 210,350	\$ 467,068	\$ 207,471	\$ 251,104	\$ 458,575	\$ 8,493
Day Programs		-		0	26,359	31,987	58,346	(58,346)
Other Services		998,200		998,200	68,744	878,323	947,067	51,133
<b>TOTAL CPP</b>	<b>\$ -</b>	<b>\$ 1,254,918</b>	<b>\$ 210,350</b>	<b>\$ 1,465,268</b>	<b>\$ 302,573</b>	<b>\$ 1,161,415</b>	<b>\$ 1,463,988</b>	<b>\$ 1,280</b>
Percentage				100.00%	20.65%	79.26%		0.09%
<b>TOTAL PURCHASE OF SERVICE</b>	<b>\$ 122,526,301</b>	<b>\$ 35,857,720</b>	<b>\$ 338,230</b>	<b>\$ 158,722,251</b>	<b>\$ 111,493,620</b>	<b>\$ 45,089,600</b>	<b>\$ 156,583,220</b>	<b>\$ 2,139,031</b>
Percentage				100%	70.24%	28.41%		1.35%
<b>GRAND TOTAL ALL BUDGETS</b>	<b>\$ 137,228,167</b>	<b>\$ 41,421,614</b>	<b>\$ 2,098,246</b>	<b>\$ 180,748,027</b>	<b>\$ 127,759,782</b>	<b>\$ 50,273,953</b>	<b>\$ 178,033,735</b>	<b>\$ 2,714,292</b>
Percentage				100%	70.68%	27.81%		1.50%

Note 1: The "Projected Balance" is an estimate of the difference between KRC's funding allocation from DDS (col. 4), and Total Projected (col. 7)

Note 2: Reimbursement for the estimated costs of day program and transportation for Intermediate Care Facility State Plan Amendment clients is not funded by DDS's Standard Agreement. The reimbursement to KRC will be provided from the SPA ICF's within the catchment area.

▶ Kern Regional Center  
Fiscal Year 2016/2017  
Report on Purchase of Service Expenditure and Demographic  
Information

▶ Questions you might have

- ▶ What is this presentation about?
- ▶ Why is KRC taking the time to present this information?
- ▶ Who does this impact and how?
- ▶ How can I help?
- ▶ What do I need to know before I see the information?
- ▶ Why should the consumers and their families be concerned about this report?

▶ What is this report about?

Background:

Every regional center receives funding from the Department of Developmental Services (DDS) to purchase services for consumers.

This funding is called "Purchase of Service" or more commonly known as "POS". The POS funding can **ONLY** be spent on consumers.

The services purchased must be in the consumer's IFSP/IPP and must abide by the Lanterman Act.

▶ What is this report about? Cont.

The report is 65 pages and analyzes the data from several different angles. The report can be found on the KRC website.

The focus of the report is:

- ▶ How much is the Regional Center budgeting (POS) vs. how much is really being spent
- ▶ Which ethnic groups utilized services and which do not.
- ▶ What is the trend in using services among ethnic groups and age

▶ Why is KRC taking the time to present this information?

- W&I 4519.5 requires all Regional Centers to submit POS authorizations, expenditures and utilization by different demographic categories as outlined in the law.
- Regional Centers must have informational meetings to collect feedback from individuals, families, community partners and other stakeholders and then report findings to DDS.



Who does this impact and how?

- ▶ The Consumer/Family
  - ▶ The IFSP/IPP
  - ▶ SC asking questions to determine which service best meets the needs of the consumer and family.
  - ▶ Consumers receive a yearly Cost Statement

**Attachment 2**

- ▶ The Regional Center
  - ▶ Ensuring the correct POS for the right reason is submitted
  - ▶ Helps identify areas of training
  - ▶ Helps identify unmet service needs
- ▶ DDS
  - ▶ Fiscal projections for each of the 21 centers
- ▶ Vendors
  - ▶ Budgeting
  - ▶ Staffing projection
- ▶

## How can I help?

- ▶ As we review the data please give feedback as to how we can improve the usage.
- ▶ If you have a service and are not using it, for whatever reason, please let your Service Coordinator know so the POS can be adjusted accordingly

### ▶ What do we need to know before reading the report?

- Data represents the cost of services KRC budgeted for client's services vs. what was actually spent on services.
- Today we are reviewing data from FY 2016/17, the fiscal year is July 1, 2016 to June 30, 2017.
- The word, "encumbered" means KRC promised to have the money available for the service, or budgeted for the service.
- "Actual usage" means what was really spent.
- The client count reflects **ALL** clients who received a purchased service. The total number of clients is higher than the actual number of active cases.

### ▶ What do we need to know before reading the report? Continued...

- ▶ Multiple diagnosis are counted for each POS.
- ▶ The report list the following Ethnicities:
  - ▶ Asian
  - ▶ Black/African-American
  - ▶ Filipino
  - ▶ Hispanic
  - ▶ Native American
  - ▶ Other Ethnicity or Race
  - ▶ Polynesian
  - ▶ White

### ▶ What do we need to know before reading the report? Continued...

- ▶ For this presentation the ethnicities that will be compared are:
  - ▶ Black/African-American
  - ▶ Hispanic
  - ▶ White
  - ▶ Asian
  - ▶ Other encompasses all ethnic backgrounds not listed above

## ► Why should we know about this data?

- Transparency. The money spent on services is OUR tax payer money. Regional Centers need to be good stewards of the tax payers money and spend it within the law and on what is necessary.
- We all need to think about how to improve utilization of POS.
- Information gathered during the meeting will be documented in a report that is sent to DDS.

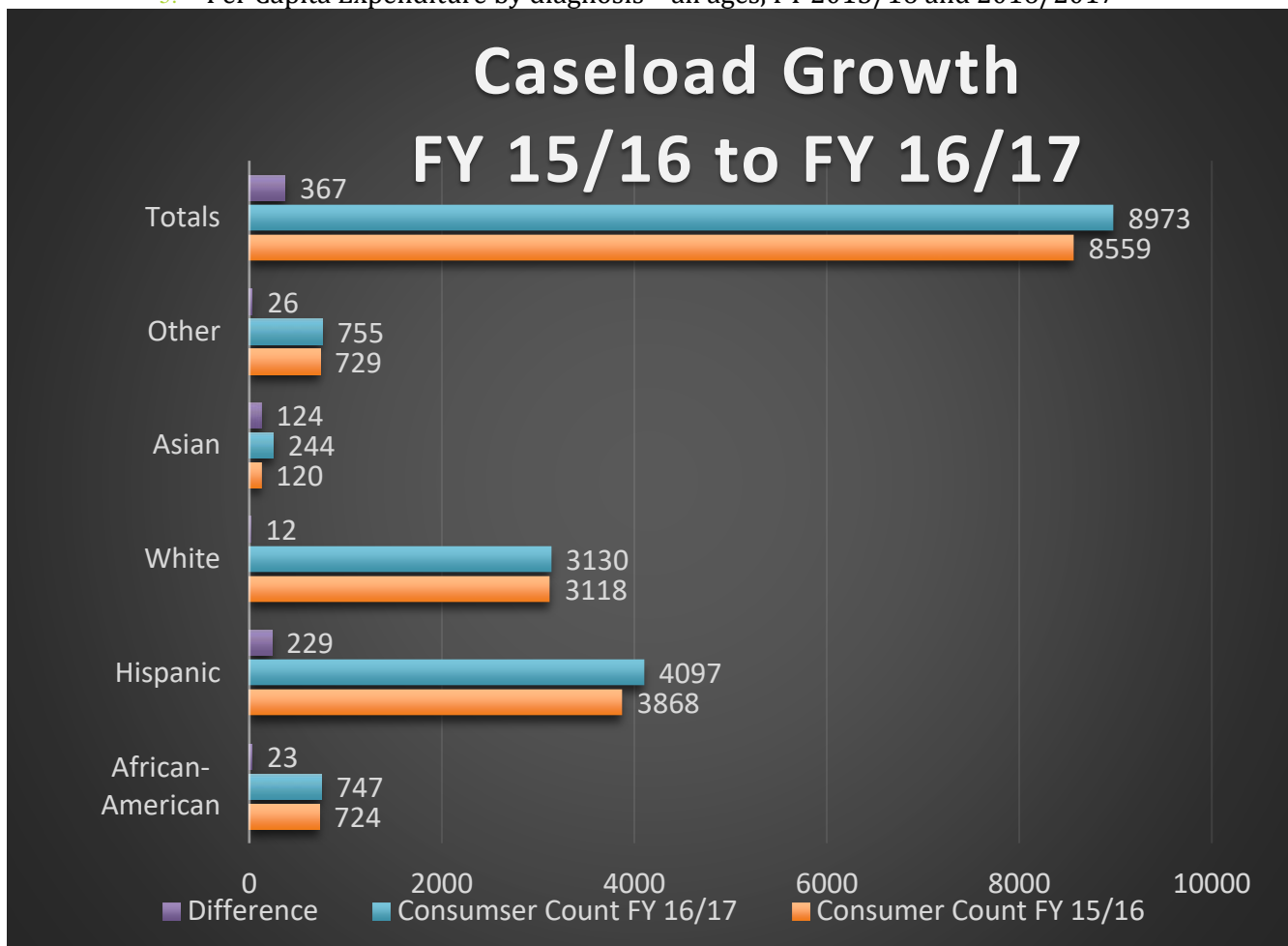
## ► What data will be discussed?

All slides will compare data from FY 2015/16 and FY 2016/17 in the following areas:

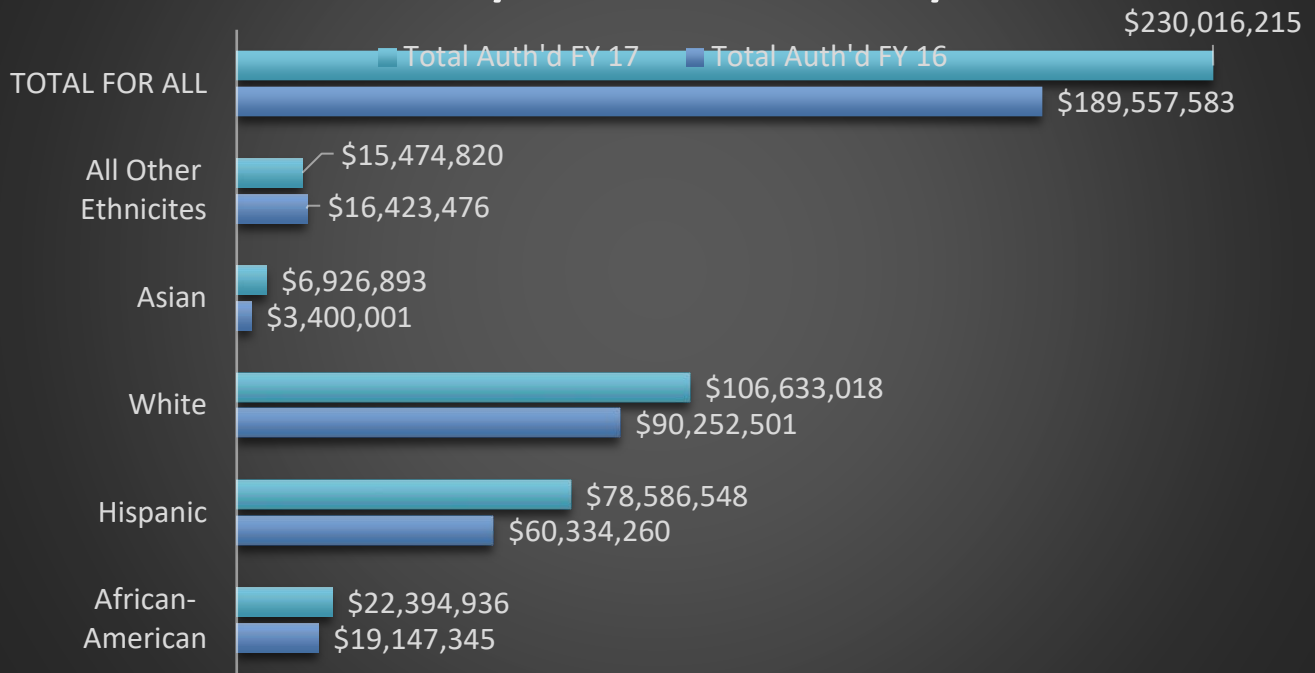
1. Caseload growth
2. Total Authorized (budgeted)
3. Total Annual Expenditures- All ages

## ► What will be discussed...Continued

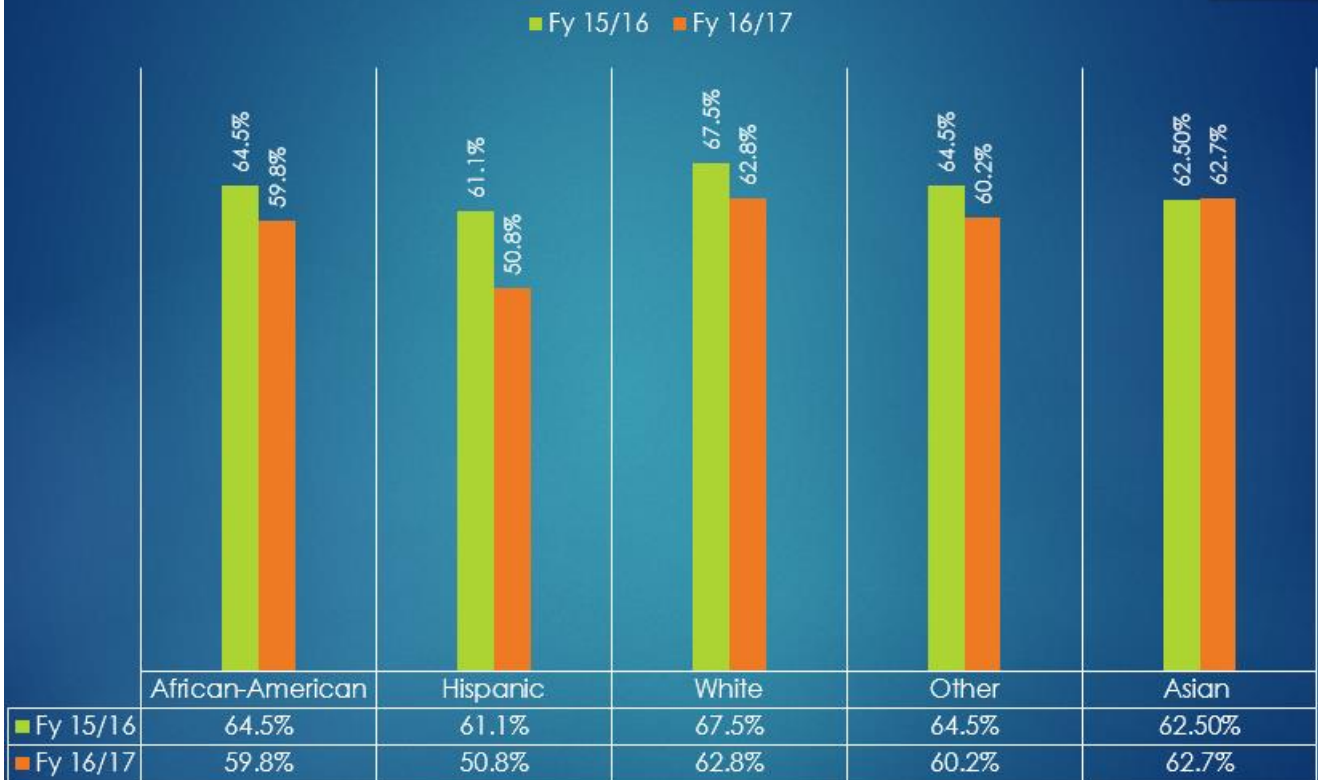
5. Per Capita Expenditure by diagnosis – all ages, FY 2015/16 and 2016/2017



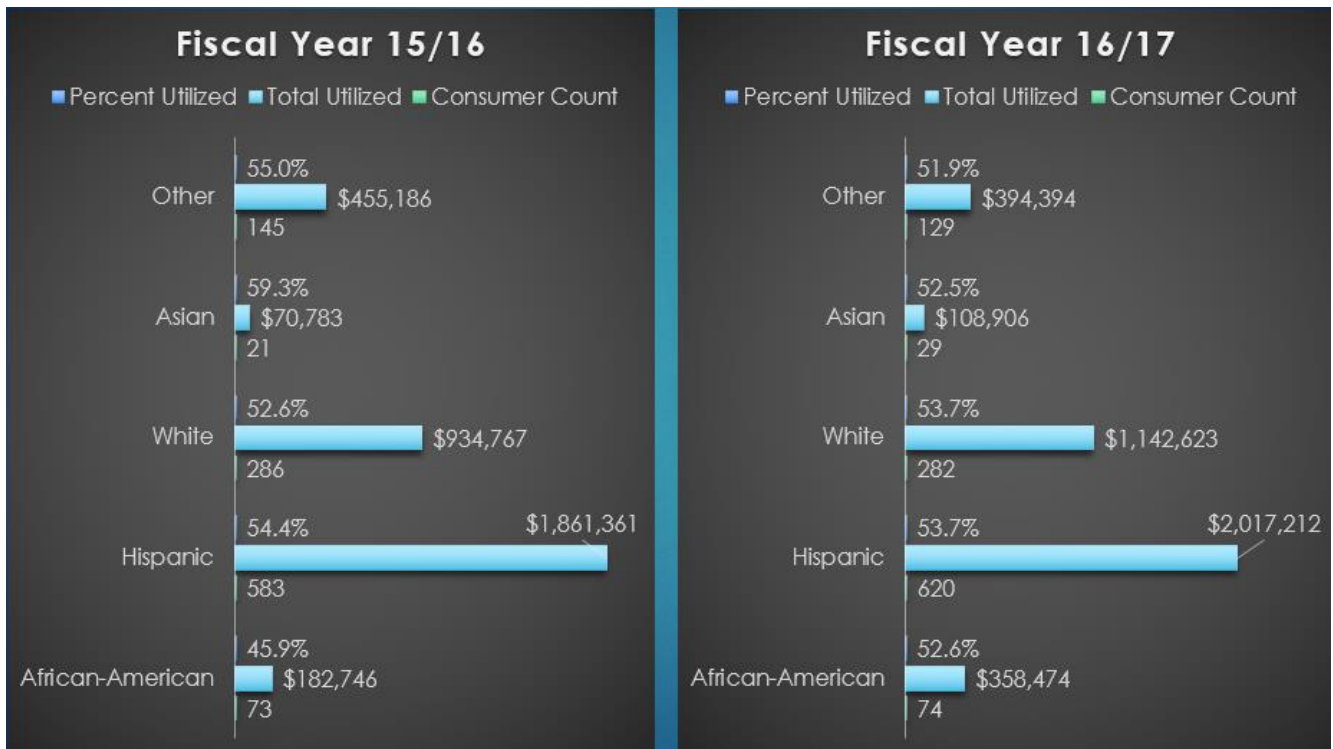
# TOTAL AUTHORIZED (BUDGETED) FY 2015/16 AND FY 2016/17



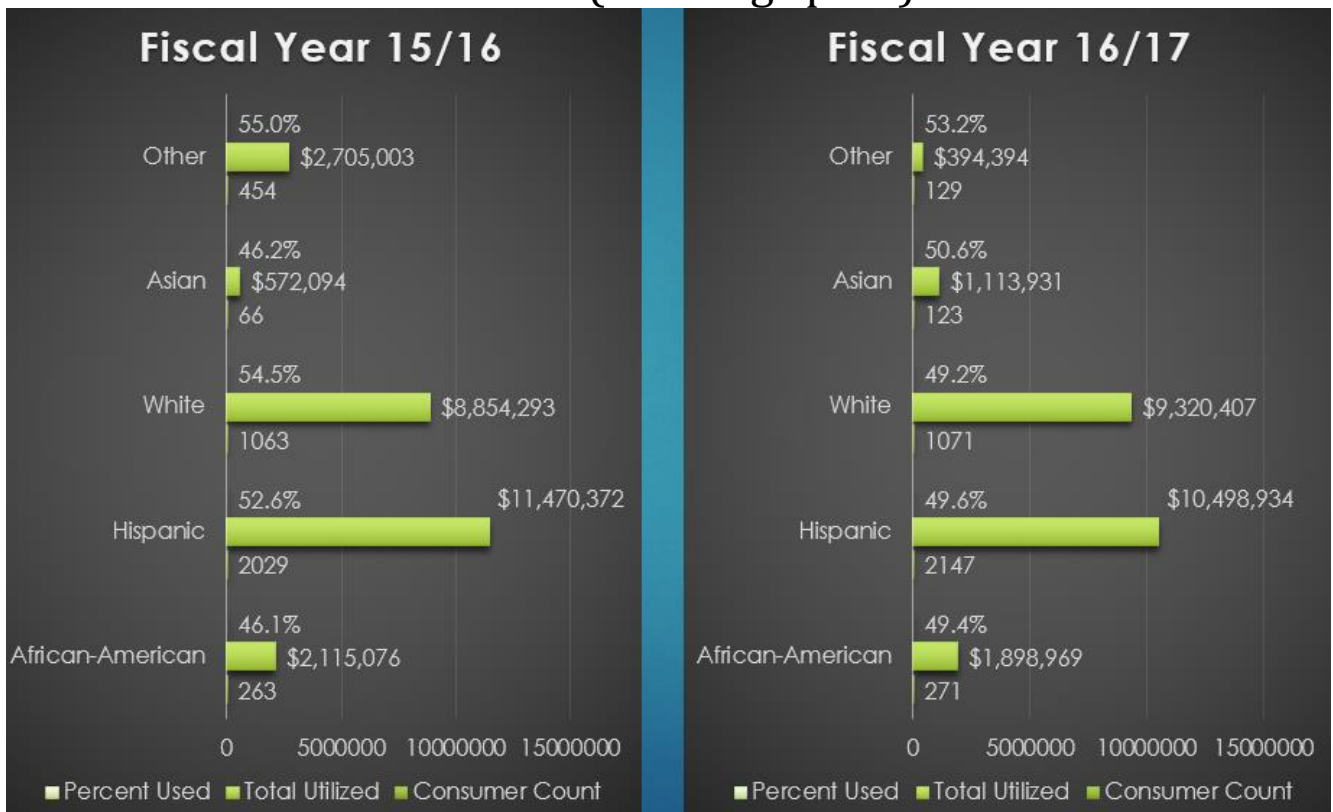
## Total Annual Expenditures- All ages



## Birth to age 2, inclusive utilized (Funding spent)

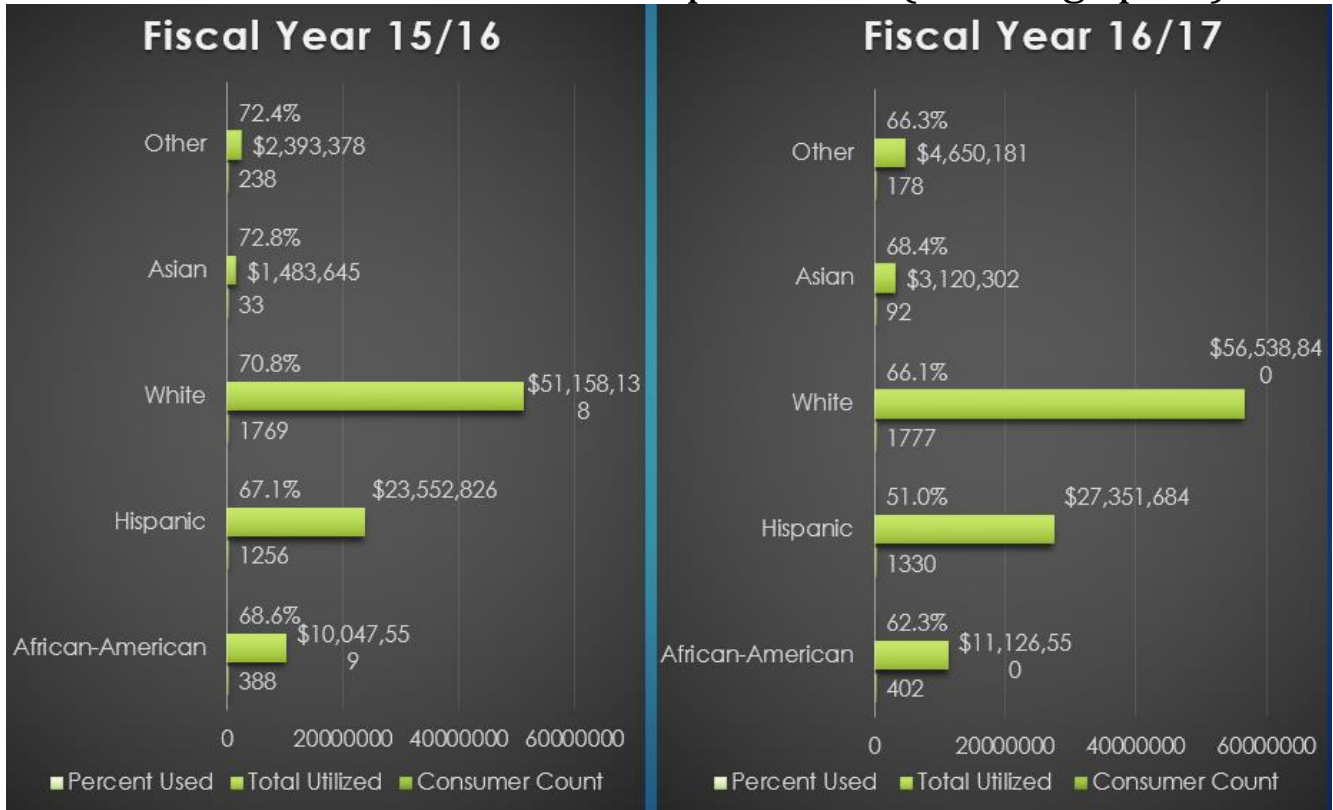


## ► Age 3 to 21, inclusive total utilized (Funding spent)



Attachment 2

► 22 and above total expenditure (Funding spent)



## When will we see improvement?

Kern Regional Center was awarded a three year Disparity Grant from DDS.

The grant will permit KRC to hire four Service Coordinators who will be trained in the identification of barriers in service utilization. The Service Coordinator will assist the families to overcome the barriers and/or access more appropriate services.

Their experience and findings will be shared with staff.

► **Where to find report**

- The report, in its entirety can be found on Kern Regional Center's website under the Governance and Transparency > Transparency and Accountability > Purchase of Service Distribution Reports>2016/17 Purchase of Service Expenditure & Demographic Information

Any Questions?

**Attachment 2**