



## KERN REGIONAL CENTER

*Striving to Achieve Equality,  
Independence and Empowerment*

### Board of Directors Meeting Agenda October 26, 2021

| General Business                                                                                                          |        |                     |
|---------------------------------------------------------------------------------------------------------------------------|--------|---------------------|
| 1. Call to Order and Introductions                                                                                        | Action | President Bains     |
| 2. Approval/Additions to Agenda                                                                                           | Action | President Bains     |
| 3. Review and approve minutes of meeting held September 28, 2021<br>(Attachment 1)                                        | Action | President Bains     |
| 4. Public Input                                                                                                           | Info.  | Et al               |
| Reports                                                                                                                   |        |                     |
| 5. Board President Report                                                                                                 | Info.  | President Bains     |
| 6. Financial Report<br>a. POS Report through August 2021(Attachment 2)<br>b. OPS Report through August 2021(Attachment 3) | Info.  | Tom Wolfgram        |
| 7. Executive Director's Report                                                                                            | Info.  | Dr. Gates           |
| 8. VAC Report                                                                                                             | Info   | Shawn White         |
| 9. Contract Performance Objectives and Outcomes for 2020<br>(Attachment 4)                                                | Info   | NickoleRenee Mensch |
| 10. Staff Report<br>a. Approval of 2022 Performance Contract/CRDP<br>(Attachment 5)                                       | Action | Enrique Roman       |

Meeting Location and Time:

Via Zoom Webinar on October 26, 2021 at 6:00 PM

<https://us02web.zoom.us/j/89812882072?pwd=cGVXWHNmUkpSQ3lMcKQrRkNSYXR2UT09>

Webinar ID: 898 1288 2072, Passcode: 019204

Dial-In Number: 1-669-219-2599

Next Board of Directors Meeting:  
*November 30, 2021 at 6:00 PM*

## **Kern Regional Center (KRC)**

3200 N. Sillect Avenue ∞ Bakersfield, CA 93308 ∞ 661-327-8531

### **Minutes of the Board of Directors Meeting**

**September 28, 2021, 6:00 – 7:30 p.m.**

**Zoom Webinar**

#### **KRC BOARD MEMBERS PRESENT:**

Oscar Axume, Board Member  
Jasmeet Bains, MD, President  
Kevin Gosselin, Treasurer  
Ryan Jones, Board Member  
NickoleRenee Mensch, Vice President  
Donald Tobias, Board Member  
Mark Tolentino, Board Member  
Martin Vasquez, Secretary  
Simon Verdugo, Board Member  
Ruth Watterson, Board Member  
Shawn White, VAC

#### **KRC BOARD MEMBERS ABSENT:**

All Present

#### **STAFF PRESENT:**

Michi Gates, Executive Director  
Kristine Khuu, Assistant Director of Client Services  
Cherylle Mallinson, Director of Community Services (Outgoing)  
Celia Pinal, Director of Client Services  
Enrique Roman, Director of Community Services (Incoming)  
Suzanne Toothman, Program Manager  
Tom Wolfgram, CFO

#### **ATTENDEES PRESENT:**

Cindy Cox, Advocate for Donald Tobias  
Jacqueline Gaytan, DDS  
Jill Green  
John Noriega, Advocate for Simon Verdugo  
Edwin Pineda, DDS  
Mitzi Villalon

#### **INTERPRETER:**

Nidya Madrigal Navia

#### **BACK-UP INTERPRETER**

Marisol Resendiz

#### **CALL TO ORDER**

Dr. Jasmeet Bains, President, called the meeting to order at 6:04 PM. A quorum was present. The Board of Directors each introduced themselves to the attendees present.

#### **APPROVAL/ADDITIONS TO AGENDA**

This agenda allows for Cherylle Mallinson, outgoing Director of Community Services at KRC, to present the Staff Report as the first item so that she can be present at another meeting directly after her presentation.

President Bains requested a motion to accept the agenda for the Board of Directors meeting dated September 28, 2021.

M/S/C (Mensch, Axume)  
Ayes = 11; Nays = 0; Abstained = 0  
Motion Carried



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## STAFF REPORT

*Cherylle Mallinson, Director of Community Services*

### **2022 Performance Contract/CRDP – Attachment 1**

The 2022 Performance Contract was made available for review to the Board of Directors. This contract outlines the goals KRC plans to achieve in the coming year. Ms. Mallinson is asking that the Board members review the contract this month and make known any concerns, corrections, and additions they may have. The 2022 Performance Contract will be brought to the Board of Directors meeting on October 26, 2021, for final approval.

### **Brilliant Corners Contract – Attachment 2**

Ms. Mallinson asked the Board members to review and approve the request to extend the contract with Brilliant Corners to search for an Enhanced Behavior Support Home (EBSH) and Rehab during the next year. A home was not found last year due to the housing shortage and, considering the recent escalation of real estate prices, an estimated \$750,000 will be needed to purchase an acceptable property. Therefore, Ms. Mallinson is asking for the Board of Directors' approval of an additional \$173,857.

President Banes asked for a motion to approve to extend the contract with Brilliant Corners to search for an EBSH and Rehab in 2021-22 with the present ACQ4 funds of \$200,000, the RH4 funds of \$332,956 and the additional funds of \$173,857 requested by KRC.

M/S/C (Axume/Gosselin)

Ayes = 9; Nays = 0; Abstained = 2 (Tolentino and White)

Motion Carried

### **RFP Board Policy C-9 – Attachment 3**

Ms. Mallinson reviewed that under this policy, if KRC enters a new contract with a vendor without complying with the RFP process, the billings from the applicant during the first 12 months of service must be less than \$120,000. For KRC to be able to meet reasonable billing services, Ms. Mallinson is requesting that the \$120,000 maximum be changed to \$500,000. Moving this threshold higher will allow for quicker processing of unsolicited vendorization requests and make securing emergency vendorization more efficient. Other minor revisions were incorporated into the policy and can be seen on Attachment 3 included with these minutes.

President Banes asked for a motion to approve the changes to RFP Board Policy C-9 changing the wording to read, *"... if KRC desires to enter into a contract with a new applicant who has submitted an unsolicited proposal for services, KRC may do so without complying with the RFP process, as long as KRC determines that the billings from such applicant during the first 12 months of service are expected to be less than \$500,000"* along with the other noted minor revisions on Attachment 3.

M/S/C (Gosselin/Mensch)

Ayes = 9; Nays = 0; Abstained = 2 (Tolentino and White)

Motion Carried



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## **REVIEW OF REVISED MINUTES OF MEETING HELD APRIL 27, 2021 -- Attachment 4**

All Board Members have reviewed the revisions made to the minutes of the meeting held on April 27, 2021, specifically, the revisions located on page 4.

President Bains requested a motion to accept the revised April 27, 2021, meeting minutes.

M/S/C: (Axume/White)

Ayes = 11; Nays = 0; Abstained = 0

Motion Carried

## **REVIEW OF MINUTES OF MEETING HELD ON MAY 25, 2021 – Attachment 5**

All Board Members have reviewed the minutes of the meeting held on May 25, 2021.

President Bains requested a motion to accept the minutes of the meeting held on May 25, 2021.

M/S/C: (White/Watterson)

Ayes = 11; Nays = 0; Abstained = 0

Motion Carried

## **EXTEND BOARD MEMBERS TERMS FOR 3 YEARS**

Board memberships for Term 1 has ended for Dr. Jasmeet Bains, Ryan Jones, and Mark Tolentino effective August 28, 2021. There was no meeting held in August, so this is being addressed this month.

President Bains asked for a motion to renew 3-year terms for Jasmeet Bains, Ryan Jones, and Mark Tolentino.

M/S/C: (Axume/Gosselin)

Ayes = 8; Nays = 0; Abstained: 3 (Bains, Jones, Tolentino)

Motion Carried

Term 2 for Dr. Bains, Mr. Jones, and Mr. Tolentino will be three years to August 28, 2024.

## **PUBLIC INPUT**

No public input was presented at this meeting.

## **KRC BOARD OF DIRECTORS PRESIDENT REPORT**

*Dr. Jasmeet Bains, President*

- President Bains thanked everyone for their continued hard work.
- The number of COVID-19 cases in Kern County continues to climb. Dr. Bains is hoping that numbers will go down in the coming months through increased vaccination and following protocols.

## **FINANCIAL REPORT**

*Tom Wolfgram, Chief Financial Officer*

### **Purchase of Services Report as of July 31, 2021 - Attachment 6**

- Total spent on services to clients: \$15,532,323. Happy to report that an increase of \$2 Million was spent on services to clients than at this time last year.



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## Operations Report as of July 31, 2021 -- Attachment 7

- Proposed Operations Expenditures for the Fiscal Year: \$23,041,786  
(Salaries & Benefits = \$18,348,326; Operating Expenses=\$4,693,460)  
\*Includes Foster Grandparent & Senior Companion Programs
- July Expenditures: \$1,747,148
- The Fiscal Year Ending 2020-21 Report was sent to the Board in the August meeting packet which was not held due to technical problems. KRC ended the year in the black.
- Finance will be in 3 audits in the next 3 months. The independent audit results will be available at the beginning of 2022.

### **EXECUTIVE DIRECTOR'S REPORT**

*Dr. Michi Gates*

#### COVID-19

- The infection rate is going up; we seem to be in our third surge. There have been 553 diagnosed cases at KRC since March 2020. There were 16 new cases reported this week; however, 14 of those cases live with family which seems to indicate that vaccines in care facilities are having a positive impact.

#### DDS Directive

- The big news that came at 5:00 PM today was the Public Health Order (PHO) requiring COVID-19 full vaccination for all workers who work in adult and senior care facilities, in home direct care services, waiver personal care services, hospice services, and all regional center employees. The exception is family caregivers who lives in the same household. Medical and Religious waivers are considered, but any employee with one of these waivers must be tested for COVID-19 on a weekly basis. This directive will be shared with Kern Regional Center's vendors and posted on the KRC website. Vaccines must be completed by November 30. Dr. Gates will send the DDS Directive to Board members.
- Concerns about Kern County's vaccination rate were discussed and how the rate of employees who decline the vaccine will affect client services negatively. ARCA has been in discussion with DDS over the past weeks on how to shore up staff during this time. DDS realizes that vendors are struggling with competing wages and overtime pay. Dr. Gates will report back to vendors and the board any strategies that come up during these discussions. The Board will meet on Thursday to draft a letter to DDS expressing their concerns of how clients may be affected considering Kern County's low vaccination rate and unique culture.

#### Budget and Legislation Updates

- KRC is starting to realize the benefits of some of the legislation passed this year. An Emergency Services Coordinator, Joe Grounds, has been hired. The positions of Deaf & Hard of Hearing Coordinator and Participant Directed Services Specialist have been posted.
- The initiatives that were implemented this year require stakeholder input, so there are various work groups that have been formed to gather information from stakeholders and provide input and feedback to DDS. Dr. Gates is on some of these work groups and Nickole Renee Mensch is on one as well. These groups will be providing input to DDS so that they have the needed information to implement these initiatives.
- To address disparity, we are hoping to soon post service coordinator positions to work with our Non-English/Non-White population with no POS, or with a POS of less than \$2,000. These



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service coordinator positions will have a ratio of 1 service coordinator to 40 clients. We are also recruiting for service coordinators to work with our Provisional Eligibility populations.

Unfortunately, no funds were provided for the increased clinical assessments that are required and, hopefully, this will be corrected in some manner and resolved soon.

- We are in the process of hiring additional service coordinators to fill vacancies and to plan for continued growth along with program managers to achieve a good ratio of program managers to service coordinators

#### Staff Announcements

- Cherylle Mallinson, has moved to the Southern California Los Angeles Regional Center as Director of Community Services and we all wish her well. We will miss her, but we anticipate interacting with her frequently as she is still part of the regional center system. To fill this vacancy at KRC, we welcome Enrique Roman as our new Director of Community Services. Enrique brings many years of valuable experience and talents to KRC, previously working at Frank D. Lanterman Regional Center under exceptional leadership. We are very glad to have Enrique as part of the KRC team.
- KRC has had 2 internal promotions. Jennifer Rimer, Program Manager in Transition Services has been promoted to Assistant Director in Client Services and Ana Leheny, Federal Programs Program Manager has also been promoted to Assistant Director of Client Services. Ana Leheny will continue to provide oversight for Federal Programs in her new position.
- KRC's new website is a success. Tim Munsey, Technology Communication Officer, and Dr. Gates work on it daily, adding new information and making improvements as needed. Our social media presence is growing, and we are getting favorable responses and helpful feedback from the community.
- As Mr. Wolfgram alluded, we have several audits through the end of the year. The DDS Biennial Audit begins October 4, followed by the Federal Program Review on November 1, and the Family Home Agency Review on December 1.

#### Board Announcements

- NickoleRenee Mensch will be reaching her 7-year maximum as a Board Member next month. One year must pass before she can be a Board Member again – and we hope she will be back! In the meantime, the Board needs to be thinking about filling the vacated positions of Vice President and ARCA Delegate. NickoleRenee will be presenting our Performance Contract results next month.
- The Board needs to complete Language and Cultural Competency training before the end of the year. Part 1 of this training will take place at 5:30 PM on October 26 and Part 2 will take place at 5:30 PM on November 30, both directly preceding our regular Board Meeting for those dates.

#### **VENDOR ADVISORY COMMITTEE**

*Shawn White, Vendor Advisory Committee Representative*

The VAC Committee met this morning, September 28.

- Dr. Gates gave the Executive Directors Report reflecting the same information presented at this meeting, including the introduction of Enrique Roman as the new Director of Community Services.
- Celia Pinal presented the Case Management Report, providing a synopsis of staffing issues and planning to address possible staff shortages.
- The Law Enforcement Subcommittee presented information on AB118. This bill is a great first step to improve the relationship between law enforcement and people with developmental disabilities



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among other groups. The bill establishes a pilot program enabling alternative emergency responders to these groups using community-based organizations that have more experience and insight when it comes to these special populations. A letter to Governor Newsom urging him to sign AB118 was presented. Mr. White distributed to all members of the VAC Committee and is happy to forward to the KRC Board of Directors. Also announced was a training called, "Law Enforcement and Autism" on Tuesday, October 12, 10:00 – 1:30. This is a virtual training, and it is free, but registration is required. Shawn can send information to Board members on request.

- The Hire Committee is holding a awards ceremony for employers and employees on October 28, 11:00 AM – 12:30 PM.
- Since there will be no vendor luncheon in November, we will send out invitations for a meeting on November 16. Invites will be sent out accordingly.

#### **ADJOURNMENT**

With nothing further to discuss, President Jasmeet Bains, MD, adjourned the meeting at 7:57 PM.

**The next public meeting will take place on Tuesday, October 26, 2021, at 6:00 p.m.**

*Minutes respectfully submitted by Darlene Pankey*



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# Attachment 3

KERN REGIONAL CENTER  
OPERATIONS  
FY 2021/2022  
AS OF AUGUST 31, 2021

|                            | PROPOSED<br>EXPENDITURE | YEAR TO<br>DATE | 07/31/21  | 08/31/21  | 09/30/21 | 10/31/21 | 11/30/21 | 12/31/21 | 01/31/22 | 02/28/22 | 03/31/22 | 04/30/22 | 05/31/22 | 06/30/22 | TOTAL     | OVER/UNDER |
|----------------------------|-------------------------|-----------------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|------------|
| OPERATIONS                 |                         |                 |           |           |          |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 18,193,197              | 2,798,953       | 1,408,718 | 1,321,905 |          |          |          |          |          |          |          |          |          |          | 2,730,623 | 68,330     |
| Operating Expenses         | 4,410,000               | 735,000         | 322,819   | 314,808   |          |          |          |          |          |          |          |          |          |          | 637,627   | 97,373     |
| SUBTOTAL OPS               | 22,603,197              | 3,533,953       | 1,731,537 | 1,636,713 |          |          |          |          |          |          |          |          |          |          | 3,368,250 | 165,704    |
| COMMUNITY PLACEMENT PLAN   |                         |                 |           |           |          |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | -                       | -               | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| Operating Expenses         | -                       | -               | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| SUBTOTAL CPP               | -                       | -               | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| FOSTER GRANDPARENT PROGRAM |                         |                 |           |           |          |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 69,809                  | 10,740          | 3,371     | 3,427     |          |          |          |          |          |          |          |          |          |          | 6,798     | 3,942      |
| Operating Expenses         | 127,864                 | 21,311          | 4,032     | 7,393     |          |          |          |          |          |          |          |          |          |          | 11,425    | 9,885      |
| SUBTOTAL FGP               | 197,673                 | 32,051          | 7,404     | 10,820    |          |          |          |          |          |          |          |          |          |          | 18,223    | 13,827     |
| SENIOR COMPANION PROGRAM   |                         |                 |           |           |          |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 85,320                  | 13,126          | 4,120     | 4,188     |          |          |          |          |          |          |          |          |          |          | 8,309     | 4,817      |
| Operating Expenses         | 155,596                 | 25,933          | 4,087     | 4,200     |          |          |          |          |          |          |          |          |          |          | 8,287     | 17,646     |
| SUBTOTAL SCP               | 240,916                 | 39,059          | 8,207     | 8,388     |          |          |          |          |          |          |          |          |          |          | 16,596    | 22,463     |
| TOTAL OPERATIONS           | 23,041,786              | 3,605,063       | 1,747,148 | 1,655,921 |          |          |          |          |          |          |          |          |          |          | 3,403,069 | 201,994    |

**Kern Regional Center**

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*Spring 2021*

**Performance Report for Kern Regional Center**

Every year, the Department of Developmental Services (DDS) contracts with regional centers in California to serve consumers and families. And, every year DDS looks at how well the regional centers are doing. This report will give you information about your regional center.

Last year, at Kern Regional Center (KRC) we served about 10,030 consumers. The charts on page two tell you about the consumers we serve. You'll also see how well we are doing in meeting our goals and in fulfilling our contract with DDS.

At KRC, we want to improve every year, do better than the state average, and meet or exceed the DDS standard. As you can see on page 2 of this report, KRC improved or maintained its performance in all five areas DDS measures. KRC also met or improved on the DDS compliance standards as seen on page 3 of this report. Improvement on meeting IFSP requirements was only slight and needs to get better. The steps KRC has taken to improve in this area are detailed on page 3.

We hope this report helps you learn more about KRC. If you have any questions or comments, please contact us!

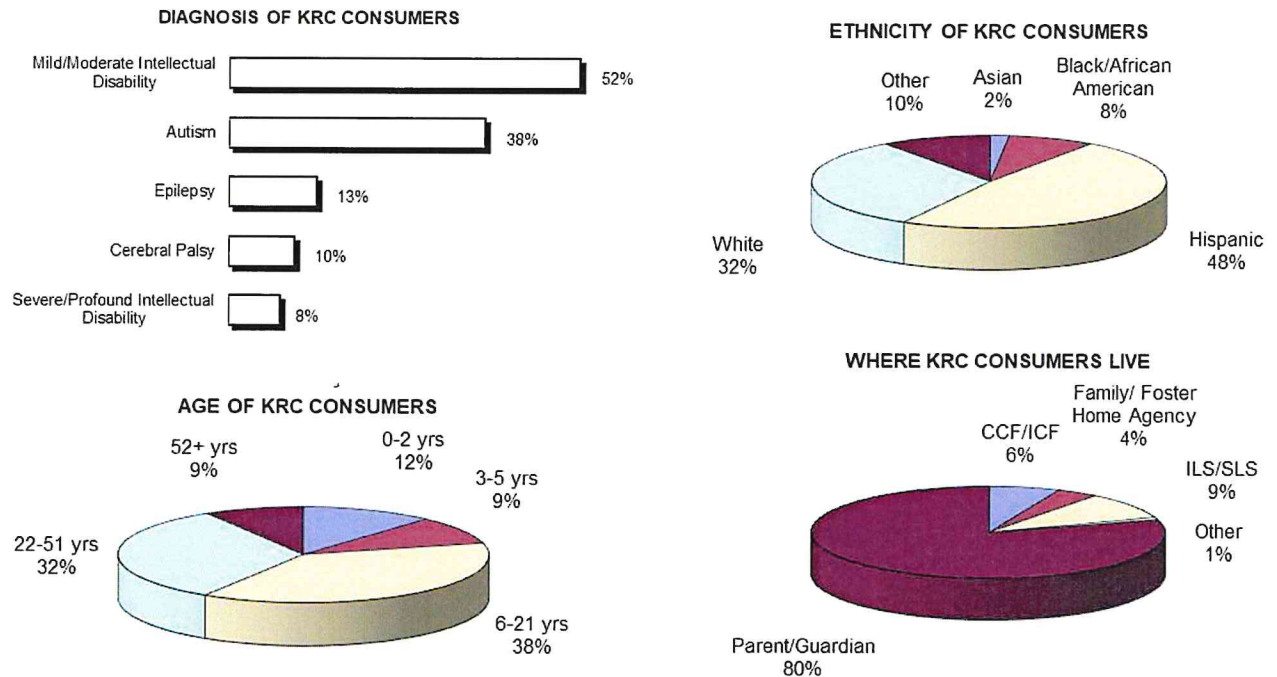
This report is a summary. For more information about the regional center, please go to: [www.kernrc.org](http://www.kernrc.org) or contact Darlene Pankey at **661-852-3360**.

Dr. Michi A. Gates  
Director, Kern Regional Center



## Who uses KRC?

These charts tell you about who KRC consumers are and where they live.



## How well is KRC performing?

This chart tells you about five areas where DDS wants each regional center to keep improving.

The first column tells you how KRC was doing at the end of 2019, and the second column shows how KRC was doing at the end of 2020.

To see how KRC compares to the other regional centers in the state, compare the numbers to the state averages (in the shaded columns).

| Regional Center Goals<br>(based on Lanterman Act)            | December 2019 |        | December 2020 |        |
|--------------------------------------------------------------|---------------|--------|---------------|--------|
|                                                              | State Average | KRC    | State Average | KRC    |
| Fewer consumers live in developmental centers                | 0.08%         | 0.10%  | 0.07%         | 0.09%  |
| More children live with families                             | 99.44%        | 99.49% | 99.51%        | 99.49% |
| More adults live in home settings*                           | 80.84%        | 85.95% | 81.71%        | 86.07% |
| Fewer children live in large facilities (more than 6 people) | 0.04%         | 0.00%  | 0.04%         | 0.00%  |
| Fewer adults live in large facilities (more than 6 people)   | 2.15%         | 1.09%  | 1.92%         | 1.01%  |

Notes: 1) Consumers can be included in more than one diagnosis category. 2) Residence Types: CCF/ICF is Community Care Facility/Intermediate Care Facility; ILS/SLS is Independent Living Services/Supported Living Services. 3) Home settings include independent living, supported living, Adult Family Home Agency homes, and consumer family homes. 4) Green text indicates the RC remained the same or improved from the previous year, red indicates the RC did not improve.

### Did KRC meet DDS standards?

Read below to see how well KRC did in meeting DDS compliance standards:

| Areas Measured                                                                                                                                                                              | Last Period   | Current Period |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------|
| Passes independent audit                                                                                                                                                                    | Yes           | Yes            |
| Passes DDS audit                                                                                                                                                                            | Yes           | Yes            |
| Audits vendors as required                                                                                                                                                                  | Partially Met | Met            |
| Didn't overspend operations budget                                                                                                                                                          | Yes           | Yes            |
| Participates in the federal waiver                                                                                                                                                          | Yes           | Yes            |
| CDERs and ESRs are updated as required (CDER is the Client Development Evaluation Report and ESR is the Early Start Report. Both contain information about consumers, including diagnosis.) | 95.21%        | 98.48%         |
| Intake/Assessment timelines for consumers age 3 or older met                                                                                                                                | 91.82%        | 100%           |
| IPP ( <i>Individual Program Plan</i> ) requirements met                                                                                                                                     | 97.42%        | N/A            |
| IFSP ( <i>Individualized Family Service Plan</i> ) requirements met                                                                                                                         | 75.5%         | 75.7%          |

Notes: 1) The federal waiver refers to the Medicaid Home and Community-Based Services Waiver program that allows California to offer services not otherwise available through the Medi-Cal program to serve people (including individuals with developmental disabilities) in their own homes and communities. 2) The CDER and ESR currency percentages were weighted based on the RC's Status 1 and Status 2 caseloads to arrive at a composite score. 3) The IFSP calculation methodology was changed from composite to average in order to more accurately reflect the RC's performance by only including children reviewed during monitoring and not all Early Start consumers. 4) N/A indicates that the regional center was not reviewed for the measure during the current period.

Kern Regional Center's performance data for the IFSP requirements is obtained by average score instead of the composite score, which statistically yields an overall lower performance score. As a result of the 2019 Early Start monitoring review, KRC developed and implemented additional assessment resources to respond to Early Start referrals and improved compliance with the 45 day intake timeline requirement to 100%. KRC also restructured its Early Start Team in the past year to lower caseload numbers for service coordinators and improve quality provision of case management services to Early Start children and their families. KRC program managers overseeing the Early Start teams continue to support and train their staff to ensure documentation on the IFSP adheres to the requirements set forth in the Code of Federal Regulations Title 34 and Title 14 of the California Intervention Services Acts. KRC program managers follow up with their service coordinators to create and enter Early Start Reports (ESR) for children in status 1 which is reflected in the above chart. KRC will continue to work diligently to address and improve all compliance and performance standards in the area of IFSP requirements for children and families receiving Early Start services from Kern Regional Center.

## How well is KRC doing at getting consumers working?

The chart below shows how well KRC is performing on increasing consumer employment performance compared to their prior performance and statewide averages:

| Areas Measured                                                                                                                                         | Time Period          |          |                      |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------|----------------------|----------|
|                                                                                                                                                        | CA                   | KRC      | CA                   | KRC      |
| <b>Consumer Earned Income ( Age 16+):</b><br>Data Source: Employment Development Department                                                            | Jan through Dec 2018 |          | Jan through Dec 2019 |          |
| Quarterly number of consumers with earned income                                                                                                       | 27,526               | 709      | 28,170               | 646      |
| Percentage of consumers with earned income                                                                                                             | 16%                  | 15%      | 16%                  | 13%      |
| Average annual wages                                                                                                                                   | \$10,317             | \$10,522 | \$11,327             | \$11,520 |
| <b>Annual earnings of consumers compared to people with all disabilities in California</b><br>Data Source: Cornell University Disability Status Report | 2018                 |          | 2019                 |          |
|                                                                                                                                                        | \$47,600             |          | Data not available*  |          |
| <b>National Core Indicator Adult Consumer Survey</b><br>Percentage of adults who reported having integrated employment as a goal in their IPP          | July 2014-June 2015  |          | July 2017-June 2018  |          |
|                                                                                                                                                        | 27%                  | 37%      | 29%                  | 31%      |
| <b>Paid Internship Program</b><br>Data Source: Paid Internship Program Survey                                                                          | 2018-19              |          | 2019-20              |          |
| Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program                            | CA Average           | KRC      | CA Average           | KRC      |
|                                                                                                                                                        | 9                    | 4        | 8                    | 4        |
| Percentage of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program                        | 13%                  | 12%      | 9%                   | 8%       |
| Average hourly or salaried wages for adults who participated in a Paid Internship Program                                                              | \$12.45              | \$11.92  | \$13.31              | \$12.75  |
| Average hours worked per week for adults who participated in a Paid Internship Program                                                                 | 17                   | 19       | 16                   | 18       |
| <b>Incentive Payments</b><br>Data Source: Competitive Integrated Employment Incentive Program Survey                                                   |                      |          |                      |          |
| Average wages for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made                            | \$12.76              | \$12.58  | \$13.52              | \$12.58  |
| Average hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made                     | 22                   | 28       | 21                   | 20       |
| Total number of incentive payments made for the fiscal year for the following amounts:                                                                 | \$1,500              | 1        | 22                   | 0        |
|                                                                                                                                                        | \$1,250              | 6        | 28                   | 6        |
|                                                                                                                                                        | \$1,000              | 8        | 34                   | 4        |

\*The Cornell University 2019 Disability Status Report was not available at the time that this report was finalized.



## How well is KRC doing at reducing disparities and improving equity?

These tables show you how well the regional center is doing at providing services equally for all consumers.

Percent of total annual purchase of service expenditures by individual's ethnicity and age

| Age Group    | Measure      | American Indian or Alaska Native |       | Asian |       | Black/African American |       | Hispanic |       | Native Hawaiian or Other Pacific Islander |       | White |       | Other Ethnicity or Race |       |
|--------------|--------------|----------------------------------|-------|-------|-------|------------------------|-------|----------|-------|-------------------------------------------|-------|-------|-------|-------------------------|-------|
|              |              | 18-19                            | 19-20 | 18-19 | 19-20 | 18-19                  | 19-20 | 18-19    | 19-20 | 18-19                                     | 19-20 | 18-19 | 19-20 | 18-19                   | 19-20 |
| Birth to 2   | Consumers    | 2%                               | 1%    | 4%    | 3%    | 7%                     | 6%    | 54%      | 57%   | 0%                                        | 0%    | 24%   | 21%   | 10%                     | 12%   |
|              | Expenditures | 3%                               | 1%    | 5%    | 2%    | 7%                     | 4%    | 51%      | 54%   | 1%                                        | 0%    | 25%   | 25%   | 10%                     | 13%   |
| 3 to 21      | Consumers    | 1%                               | 1%    | 3%    | 3%    | 6%                     | 7%    | 55%      | 55%   | 0%                                        | 0%    | 25%   | 24%   | 10%                     | 10%   |
|              | Expenditures | 1%                               | 1%    | 6%    | 5%    | 10%                    | 9%    | 41%      | 44%   | 0%                                        | 0%    | 33%   | 32%   | 9%                      | 9%    |
| 22 and older | Consumers    | 1%                               | 1%    | 3%    | 3%    | 10%                    | 10%   | 37%      | 38%   | 0%                                        | 0%    | 45%   | 44%   | 5%                      | 5%    |
|              | Expenditures | 1%                               | 1%    | 3%    | 3%    | 10%                    | 11%   | 28%      | 28%   | 0%                                        | 0%    | 53%   | 53%   | 5%                      | 4%    |

Number and percent of individuals receiving only case management services by age and ethnicity

| Measure                                   | Fiscal Year | Number of Eligible Consumers Receiving Case Management Only |         |              |  | Percent of Eligible Consumers Receiving Case Management Only |         |              |  |
|-------------------------------------------|-------------|-------------------------------------------------------------|---------|--------------|--|--------------------------------------------------------------|---------|--------------|--|
|                                           |             | Birth to 2                                                  | 3 to 21 | 22 and Older |  | Birth to 2                                                   | 3 to 21 | 22 and Older |  |
| American Indian or Alaska Native          | 18-19       | 3                                                           | 7       | 5            |  | 14%                                                          | 25%     | 19%          |  |
|                                           | 19-20       | 5                                                           | 14      | 7            |  | 24%                                                          | 41%     | 24%          |  |
|                                           | 18-19       | 3                                                           | 55      | 17           |  | 7%                                                           | 37%     | 16%          |  |
|                                           | 19-20       | 0                                                           | 76      | 18           |  | 0%                                                           | 46%     | 16%          |  |
| Black/African American                    | 18-19       | 5                                                           | 99      | 46           |  | 6%                                                           | 34%     | 11%          |  |
|                                           | 19-20       | 5                                                           | 134     | 50           |  | 5%                                                           | 37%     | 12%          |  |
| Hispanic                                  | 18-19       | 78                                                          | 1,064   | 301          |  | 11%                                                          | 42%     | 20%          |  |
|                                           | 19-20       | 52                                                          | 1,321   | 357          |  | 5%                                                           | 47%     | 23%          |  |
| Native Hawaiian or Other Pacific Islander | 18-19       | 0                                                           | 2       | 0            |  | 0%                                                           | 50%     | 0%           |  |
|                                           | 19-20       | 0                                                           | 1       | 0            |  | 0%                                                           | 33%     | 0%           |  |
| White                                     | 18-19       | 27                                                          | 451     | 258          |  | 9%                                                           | 40%     | 15%          |  |
|                                           | 19-20       | 31                                                          | 495     | 269          |  | 9%                                                           | 41%     | 15%          |  |
| Other Ethnicity or Race                   | 18-19       | 13                                                          | 171     | 25           |  | 10%                                                          | 38%     | 14%          |  |
|                                           | 19-20       | 6                                                           | 203     | 34           |  | 3%                                                           | 41%     | 16%          |  |
| Total                                     | 18-19       | 129                                                         | 1,849   | 652          |  | 10%                                                          | 40%     | 16%          |  |
|                                           | 19-20       | 99                                                          | 2,244   | 735          |  | 6%                                                           | 44%     | 18%          |  |

**Want more information?**

To see the complete report, go to: [www.kernrc.org](http://www.kernrc.org)

Or contact Darlene Pankey at 661-852-3360

Kern Regional Center

Public Policy Performance Measures (Required)

Calendar Year(s) 2022

| Measures                                                                                           | Statewide Average June 2020 | KRC Baseline as of June 2020 | Statewide Average July 2021 | KRC Baseline as of July 2021 | Activities Regional Center will Employ to Achieve Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|----------------------------------------------------------------------------------------------------|-----------------------------|------------------------------|-----------------------------|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Number and percent of Regional Center consumers in Developmental Centers (DC) (lower is better) | 0.08%<br>266                | 0.10%<br>10                  | 0.07%<br>255                | 0.11%<br>11                  | <ul style="list-style-type: none"> <li>KRC will design services and identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community.</li> <li>KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population.</li> <li>KRC will continue to implement the 2021-22 Community Placement Plan (CPP)/Community Resource Development Plan (CRDP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through residential service settings, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need.</li> <li>KRC will deflect placements from the DC whenever possible consistent with consumers needs.</li> <li>KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options.</li> <li>Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement.</li> <li>Move consumers from the developmental center to a community settings.</li> <li>Develop community homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes may be under a new licensing category, allowing consumers to be served who could not be served in a community setting by 12/30/2022.</li> </ul> |

# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                                                 | <i>Statewide Average June 2020</i> | <i>KRC Baseline as of June 2020</i> | <i>Statewide Average July 2021</i> | <i>KRC Baseline as of July 2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2. Number and percent of minors residing with families (own family, foster family, guardian) (higher is better) | 99.48%<br>177,196                  | 99.51%<br>5,042                     | 99.53%<br>182,139                  | 99.62%<br>5,459                     | <ul style="list-style-type: none"> <li>• Provide information and referral to parents about Family Resource Center(s).</li> <li>• Continue to develop programs to serve children.</li> </ul>              |
| 3. Number and percent of adults residing in independent living (higher is better)                               | 10.06%<br>17,660                   | 8.17%<br>406                        | 9.76%<br>17,608                    | 8.01%<br>409                        | <ul style="list-style-type: none"> <li>• Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.</li> </ul> |
| 4. Number and percent of adults residing in supported living (higher is better)                                 | 5.28%<br>9,260                     | 10.08%<br>501                       | 5.18%<br>9,348                     | 9.75%<br>498                        | <ul style="list-style-type: none"> <li>• Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.</li> </ul>               |
| 5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes (higher is better)            | 0.93%<br>1,638                     | 4.43%<br>220                        | 0.89%<br>1,609                     | 4.23%<br>216                        | <ul style="list-style-type: none"> <li>• Develop plan to comply with statutory monitoring requirements.</li> <li>• Increase AFHA availability</li> </ul>                                                 |



# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                                                                                                      | <i>Statewide<br/>Average<br/>June 2020</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2020</i> | <i>Statewide<br/>Average June<br/>2021</i> | <i>KRC<br/>Baseline as<br/>of July<br/>2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|--------------------------------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| <b>6. Number and percent of adults residing in family homes (home of parent or guardian)<br/>(higher is better)</b>                                                  | <b>64.98%<br/>114,052</b>                  | <b>63.39%<br/>3,151</b>                         | <b>66.36%<br/>119,712</b>                  | <b>64.51%<br/>3,294</b>                         | <ul style="list-style-type: none"> <li>Continue to provide services and support to maintain consumers in the family home.</li> </ul> |
| <b>7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes)<br/>(higher is better)</b> | <b>81.25%<br/>142,610</b>                  | <b>86.06%<br/>4,278</b>                         | <b>82.22%<br/>142,277</b>                  | <b>86.51%<br/>4,417</b>                         | <ul style="list-style-type: none"> <li>See #3 through #6 above.</li> </ul>                                                           |

# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                                                    | <i>Statewide<br/>Average<br/>June 2020</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2020</i> | <i>Statewide<br/>Average July<br/>2021</i> | <i>KRC<br/>Baseline as<br/>of July<br/>2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                              |
|--------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| 8. Number and percent of minors living in facilities serving greater than 7 - (ICF, SNF,CCF).<br>(lower is better) | 0.04%<br>66                                | 0.00%<br>0                                      | 0.03%<br>59                                | 0.00%<br>0                                      | <ul style="list-style-type: none"> <li>Continue to identify and track children at risk of institutional placement.</li> </ul> |
| 9. Number and percent of adults living in facilities serving greater than 7 - (ICF, SNF, CCF)<br>(lower is better) | 2.06%<br>3,618                             | 1.03%<br>51                                     | 1.84%<br>3,323                             | 0.96%<br>49                                     | <ul style="list-style-type: none"> <li>Continue to identify and track adults in large facilities.</li> </ul>                  |

**Public Policy Performance Measures (Related to Employment)**

| <b>Measures</b>                                                                                                                                               | <b>Statewide Average</b>             | <b>KRC</b>                             | <b>Statewide Average</b>                                         | <b>KRC</b>                                              | <b>Activities Regional Center will Employ to Achieve Outcome</b>                                                                                                                                                                                                                                                                                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------|------------------------------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1. Number and percentage of consumers, ages 16-64 with earned income.<br/>(higher is better)</b>                                                           | <b>2017-18<br/>14.50%<br/>23,265</b> | <b>2017-18<br/>14.29%<br/>650</b>      | <b>2017-18<br/>(NCI In-Person Survey)<br/>18% NCI<br/>15% CA</b> | <b>2017-18<br/>(NCI In-Person Survey)<br/>12%</b>       | <ul style="list-style-type: none"> <li>Identify consumers ages 16-64 with earned income.</li> <li>New Measures data is forthcoming from the Employment Development Department (EDD) &amp; DDS.</li> <li>Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> </ul>         |
| <b>2. Average annual wages for consumers ages 16-64<br/>(higher is better)</b>                                                                                | <b>2017-18<br/>8698</b>              | <b>2017-18<br/>8929</b>                | <b>TBD</b>                                                       | <b>Average PIP/CIE Annual Income \$10,053 (FY 1920)</b> | <ul style="list-style-type: none"> <li>Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).</li> </ul>                                                                                                                                                                                            |
| <b>3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA<br/>(higher is better)</b>                                       | <b>2017-18<br/>14.5%</b>             | <b>2017-18<br/>14.3%</b>               | <b>TBD</b>                                                       | <b>TBD</b>                                              | <ul style="list-style-type: none"> <li>Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).</li> <li>Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> </ul>                                                              |
| <b>4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program.<br/>(higher is better)</b> | <b>UD</b>                            | <b>2016-17<br/>7<br/>2017-18<br/>5</b> | <b>UD</b>                                                        | <b>2018-19<br/>8<br/>2019-20<br/>4</b>                  | <ul style="list-style-type: none"> <li>Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP).</li> <li>Identify and track consumers participating in PIP.</li> <li>Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants.</li> </ul> |

# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                                                                                                                   | <i>Statewide Average</i> | <i>KRC Baseline</i>             | <i>Statewide Average</i> | <i>KRC Baseline</i>                      | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------|--------------------------|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5. Percentage of adults who are placed in competitive, integrated employment following participation in a Paid Internship Program<br><i>(higher is better)</i>                    | UD                       | UD                              | UD                       | 2019-20<br>4 hired out of 51 PIP (7.84%) | <ul style="list-style-type: none"> <li>Track progress. New measures data if forthcoming</li> <li>Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> </ul> |
| 6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year.<br><i>(higher is better)</i> | TBD                      | 2017-18<br>\$11/hr<br>25 hrs/wk | TBD                      | 2019-20<br>\$12.00/hr<br>15.12 hrs/wk    | <ul style="list-style-type: none"> <li>Track progress. New measures data if forthcoming</li> <li>Data obtained from DDS annual Report</li> </ul>                                                                                 |



# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                                                                                                        | <i>Statewide<br/>Average</i> | <i>KRC<br/>Baseline</i>                        | <i>Statewide<br/>Average</i> | <i>KRC<br/>Baseline<br/>2019-20</i>            | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------------|------------------------------|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made.<br>(higher is better) | TBD                          | 2017-18<br>\$12.66/hr<br>25<br>hrs/wk          | TBD                          | 2019-20<br>\$12.16/hr<br>17.66<br>hrs/wk       | <ul style="list-style-type: none"> <li>Track progress. New measures data if forthcoming</li> <li>Data obtained from DDS annual Report</li> </ul>                                                                                           |
| 8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year<br>(higher is better)                                                         | TBD                          | 2017-18<br>\$1000(3)<br>\$1250(2)<br>\$1500(3) | TBD                          | 2019-20<br>\$1000(5)<br>\$1250(6)<br>\$1500(1) | <ul style="list-style-type: none"> <li>Track progress. New measures data if forthcoming</li> <li>Data obtained from DDS annual Report</li> </ul>                                                                                           |
| 9. Percentage of adults who reported having integrated employment as a goal in their IPP<br>(higher is better)                                                         | 2014-15<br>State Avg<br>27%  | 2014-15<br>KRC Avg<br>37%                      | TBD                          | TBD                                            | <ul style="list-style-type: none"> <li>KRC to develop a plan on how to track these objectives.</li> <li>Obtain National Core Indicator (NCI) Survey.</li> <li>NCI measure "community employment" versus "integrated employment.</li> </ul> |

**Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)**

| <i>Measures</i>                                                                                                                                                                           | <i>KRC FY 2018-19<br/>% Utilized<br/>ALL AGES</i>                                                                                                                                                                                                                                        | <i>KRC FY 2018-19<br/>Age &amp;<br/>Utilized</i>                                         | <i>KRC FY 2019-20<br/>% Utilized<br/>ALL AGES</i>                                                                                                                                                                                                        | <i>KRC FY 2019-20<br/>Age &amp;<br/>Utilized</i>                                         | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Percent of total annual purchase of service expenditures by individual's ethnicity and age:<br>* Birth to age two, inclusive.<br>* Age three to 21, inclusive.<br>* Twenty-two and older. | <p>Asian<br/>65.5%</p> <p>-</p> <p>Black/AA<br/>66.9%</p> <p>-</p> <p>Native<br/>Hawaiian or<br/>Other Pacific<br/>Islander<br/>78.5%</p> <p>-</p> <p>Hispanic<br/>61.5%</p> <p>-</p> <p>Native<br/>American<br/>61.1%</p> <p>-</p> <p>Other<br/>62.2%</p> <p>-</p> <p>White<br/>69%</p> | <p>0 - 2<br/>60.5%</p> <p>-</p> <p>3 - 21<br/>55.3%</p> <p>-</p> <p>22 - ^<br/>69.5%</p> | <p>Asian<br/>63.7%</p> <p>-</p> <p>Black/AA<br/>69%</p> <p>-</p> <p>Native<br/>Hawaiian or<br/>Other Pacific<br/>Islander<br/>83.7%</p> <p>-</p> <p>Hispanic<br/>66.8%</p> <p>-</p> <p>Native<br/>American<br/>70.8%</p> <p>-</p> <p>White<br/>66.4%</p> | <p>0 - 2<br/>61.4%</p> <p>-</p> <p>3 - 21<br/>56.1%</p> <p>-</p> <p>22 - ^<br/>68.6%</p> | <ul style="list-style-type: none"> <li>• KRC will utilized the DDS Disparity Grant to implement a plan to address disparity for birth to 8 years, and 8 years and over.</li> <li>• Conduct outreach and training through educational presentations about regional center services in venues serving families with young children [birth to 8 years] in KRC's catchment area, utilizing Early Start networks.</li> <li>• Enhanced training for staff to assess thoroughly the needs of the consumer and families, and to follow through with the referral process essential to access the correct service.</li> <li>• Service Coordinator will monitor closely to review the utilization of services on a periodic basis and identify barriers in accessing services.</li> <li>• KRC to observe vendor data trends for encumbrances vs. utilization and establish a threshold for appropriate encumbrance vs. utilization. If utilization falls under standard, review the current practices that are in place and make adjustment as needed.</li> <li>• Service Coordinators to work closely with clients, families and vendors when services are not utilized.</li> </ul> |

# PERFORMANCE CONTRACT PLAN

| Measures                                                                                                                                                                                                       | KRC FY 2018-19 Ethnicity All Ages                                                                                                                                                                                                      | KRC FY 2018-19 All Ethnicity                                                              | KRC FY 2019-20 Ethnicity All Ages                                                                                                                                                                                            | KRC FY 2019-20 All Ethnicity                                                | Activities Regional Center will Employ to Achieve Outcome                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Number and percent of individuals receiving only case management services by age and ethnicity:</p> <p>Birth to age two, inclusive.</p> <p>* Age three to 21, inclusive.</p> <p>* Twenty-two and older.</p> | <p>Asian 25.2%</p> <p>-</p> <p>Black/AA 19%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 20%</p> <p>-</p> <p>Hispanic 30.5%</p> <p>-</p> <p>Native American 20%</p> <p>-</p> <p>Other 27.8%</p> <p>-</p> <p>White 23%</p> | <p>0 - 2 129 9.9%</p> <p>-</p> <p>3 - 21 1,849 40.4%</p> <p>-</p> <p>22 - ^ 652 16.4%</p> | <p>Asian 29.3%</p> <p>Black/AA 21.8%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 14.3%</p> <p>-</p> <p>Hispanic 32.5%</p> <p>-</p> <p>Native American 32.1%</p> <p>-</p> <p>Other 27.5%</p> <p>White 23.5%</p> | <p>0 - 2 5.9%</p> <p>-</p> <p>3 - 21 44.5%</p> <p>-</p> <p>22 - ^ 17.7%</p> | <ul style="list-style-type: none"> <li>Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients.</li> <li>Through the Individual Program Plan (IPP) process KRC will to include all services not funded by POS dollars.</li> <li>Hire additional case worker to reduce caseload ratio.</li> <li>Enhanced training for Service Coordinator in reviewing POS expenditures and utilization, follow up with family utilization of services and support and documentation of generic resources.</li> </ul> |

Compliance Measures <sup>1</sup>UD = Under Development

# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                     |                             | <i>Yes/No</i>                                                                                        | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                         |
|-------------------------------------------------------------------------------------|-----------------------------|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Unqualified independent audit with no material finding(s).                       |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Establish, apply and maintain good business practices and generally accepted accounting principles.</li> </ul>    |
| 2. Substantial compliance with Department of Developmental Services fiscal audit    |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Establish, apply and maintain good business practices and generally accepted accounting principles.</li> </ul>    |
| 3. Accuracy percent of POS fiscal projections (based on February SOAR)              |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.</li> </ul> |
| 4. Operates within OPS budget                                                       |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Develop plan to operate within the operational funds allocation.</li> </ul>                                       |
| 5. Certified to participate in Waiver                                               |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Maintain compliance with Medicaid Waiver requirements.</li> </ul>                                                 |
| 6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10. |                             | YES                                                                                                  | <ul style="list-style-type: none"> <li>Maintain compliance with contract.</li> </ul>                                                                     |
| <i>Measures</i>                                                                     |                             | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                     |                                                                                                                                                          |
|                                                                                     | Statewide Average June 2020 | KRC Baseline as of June 2020                                                                         | KRC Baseline as of July 2021                                                                                                                             |
| 7. CDER/ESR Currency                                                                | 98.34%                      | 98.22%                                                                                               | 98.35%                                                                                                                                                   |
|                                                                                     |                             | <ul style="list-style-type: none"> <li>Continue to monitor timely completion of CDER/ESR.</li> </ul> |                                                                                                                                                          |

# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                 | <i>State Target</i>                | <i>FFY 2016-17 Report</i>           | <i>FFY 2018-19 Report</i>          | <i>FFY 2021-22 Report</i>           | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                  |
|-----------------------------------------------------------------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8. Intake/assessment and IFSP time lines (0-2).                 | 100%                               | 96%                                 | 96.67%                             | TBD                                 | <ul style="list-style-type: none"> <li>Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].</li> </ul>                                                            |
| <i>Measures</i>                                                 | <i>Statewide Average June 2020</i> | <i>KRC Baseline as of June 2020</i> | <i>Statewide Average July 2021</i> | <i>KRC Baseline as of July 2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                  |
| 9. Intake/assessment time lines for consumers ages 3 and above. | 91.29%                             | 87.78%                              | 98.27%                             | 99.39%                              | <ul style="list-style-type: none"> <li>Implement plan to ensure timely completion of intake/assessment.</li> <li>Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.</li> </ul> |



# PERFORMANCE CONTRACT PLAN

| <i>Measures</i>                                                                  | <i>Federal Revenue Audit Report 2017</i> | <i>Federal Revenue Audit Report 2019</i> | <i>Federal Revenue Audit Report 2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------|------------------------------------------|------------------------------------------|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10. IPP Development [WIC requirements(2.6.a)]                                    | 90%                                      | 97%                                      | TBD                                      | <ul style="list-style-type: none"> <li>• Comply with all requirement of WIC 4646.5(c)(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act.</li> <li>• Hire additional case worker to reduce caseload ratio.</li> <li>• Enhance training on IPP timelines, including familiarity with WIC codes for seasoned or senior Service Coordinators</li> <li>• New Service Coordinators will be trained on all aspects of the IPP process, including timelines.</li> </ul>                                                                                                                                                                                                                                                                     |
| <i>Measures</i>                                                                  | <i>State Target</i>                      | <i>FFY 2018-19 Report</i>                | <i>FFY 2019-20 Report</i>                | <i>Activities Regional Center will Employ to Achieve Outcome</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 11. An Initial IFSP Development Part C 45 day time line (Title 17 requirements). | 100%                                     | 40%                                      | 76%                                      | <ul style="list-style-type: none"> <li>• Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5].</li> <li>• Service Coordinators will be trained on all aspect of the IFSP process, including time lines.</li> <li>• A thorough documentation will be completed whenever there are issues with delays in completing assessment to account when there are exceptional family circumstances which impacts 45 days timeline.</li> <li>• Develop additional resources in completing Early Start assessments for the growth in number of referrals for children under the age of 3 to KRC.</li> <li>• Ensure to have adequate resources to complete assessment in a timely manner.</li> </ul> |

## STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Calendar Year 2022 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2022 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least ten calendar days advance public notice of the date of the public meeting (guidelines); and,
- Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives [WIC 4629 (c)(B)(iii)];

Signature of RC Direct \_\_\_\_\_

Michi A. Gates, Ph.D. Kern Regional Center Executive Director

Date: \_\_\_\_\_