

HCBS Final Rule Compliance Information

10/11/2022

| Service Type           | Completion                                 |  |   | Overall Alignment             |   |   |
|------------------------|--|--|---|-------------------------------|---|---|
|                        | Number of Vendors Requiring Assessment (A) | Number of Vendors Completed Assessment (B) | Percent of Vendors Completed Assessment | Percent Reporting to Meet (C) | Percent Reporting Can Meet with Modifications (D) | Percent Reporting Heightened Scrutiny (E) |
| Residential            | 121  | 82   | 68%                                     | 52%                           | 48%   | 6%  |
| Day Service            | 59   | 42   | 71%                                     | 62%                           | 38%   | 14%                                       |
| Employment - Supported | 3  | 3  | 100%                                    | 67%                           | 33%   | 0%  |
| Employment - WAP       | 1  | 1  | 100%                                    | 0%                            | 100%  | 0%  |
| Overall                | 184  | 128  | 70%                                     | 55%                           | 45%   | 9%  |

(A) Number of vendors requiring assessment is defined as providers that group individuals for services and are designed to serve individuals with developmental disabilities.

(B) Number of vendors completed assessment is defined as providers that have completed a self-assessment or on-site assessment.

(C)\* Vendors reporting to meet is defined as providers reporting to meet all federal requirements in either the self-assessment or site assessment.

(D)\* Vendors reporting they can meet with modifications is defined as providers reporting to not meet at least one of the federal requirements through either assessment process and is in the process of submitting a plan to become compliant.

(E)\* Vendors reporting in either the self-assessment or site assessment that they may meet heightened scrutiny requirements and require additional review.

\*Percentages in these fields are based on the total number of completed assessments (B).

Reasons for Not Meeting Federal Requirements (D\* continued)

| Service Type           | All Providers Must Meet |                   |                           |               |                                 | Only Residential Providers Must Meet |               |                             |                   |                |
|------------------------|-------------------------|-------------------|---------------------------|---------------|---------------------------------|--------------------------------------|---------------|-----------------------------|-------------------|----------------|
|                        | Requirement 1           | Requirement 2     | Requirement 3             | Requirement 4 | Requirement 5                   | Requirement 6                        | Requirement 7 | Requirement 8               | Requirement 9     | Requirement 10 |
|                        | Access to the Community | Choice of Setting | Right to be Treated Well* | Independence  | Choice of Services and Supports | Residential Agreement                | Privacy       | Schedule and Access to Food | Right to Visitors | Accessibility  |
| Residential            | 15                      | 8                 | 13                        | 9             | 4                               | 10                                   | 14            | 7                           | 14                | 2              |
| Day Service            | 3                       | 14                | 7                         | 2             | 7                               |                                      |               |                             |                   |                |
| Employment - Supported | 0                       | 1                 | 0                         | 0             | 0                               |                                      |               |                             |                   |                |
| Employment - WAP       | 0                       | 1                 | 0                         | 0             | 0                               |                                      |               |                             |                   |                |

(D continued) Will show trends of how providers across service types responded to each federal requirement. The numbers are based on providers reporting to not meet or partially meet each of the applicable federal requirements.

\* Providers reporting to not meet this requirement do not currently have the documentation to show the ways in which they ensure an individual's right to privacy, dignity, respect, and freedom from coercion and restraint. Remediation plans are in place to appropriately document this federal requirement.