

PERFORMANCE CONTRACT PLAN

Kern Regional Center

Calendar Year(s) 2020

Public Policy Performance Measures (Required)

<i>Measures</i>	<i>Statewide Average July 2018</i>	<i>KRC Baseline as of July 2018</i>	<i>Statewide Average June 2019</i>	<i>KRC Baseline as of June 2019</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i></p>	<p align="center">0.16% 525</p>	<p align="center">0.24% 21</p>	<p align="center">0.10% 327</p>	<p align="center">0.18% 17</p>	<ul style="list-style-type: none"> • KRC will design services and identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population. • KRC will continue to implement the 2019-20 Community Placement Plan (CPP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through residential service settings, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement. • Move 7 consumers from the developmental center to a community settings. • Develop community homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes may be under a new licensing category, allowing consumers to be served who could not be served in a community setting by <u>12/30/2019</u>.

Public Policy Performance Measures (Required)...Continues

Measures	Statewide Average July 2018	KRC Baseline as of July 2018	Statewide Average June 2019	KRC Baseline as of June 2019	Activities Regional Center will Employ to Achieve Outcome
2. Number and percent of minors residing with families (own family, foster family, guardian) <i>(higher is better)</i>	99.34% 161,028	99.24% 4,170	99.34% 172,363	99.45% 4,512	<ul style="list-style-type: none"> • Continue to develop programs to serve children. • Provide information and referral to parents about Family Resource Center(s).
3. Number and percent of adults residing in independent living <i>(higher is better)</i>	10.56% 17,325	8.89% 405	10.36% 17,605	8.83% 418	<ul style="list-style-type: none"> • Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.
4. Number and percent of adults residing in supported living <i>(higher is better)</i>	5.45% 8,940	10.87% 495	5.33% 9,065	10.35% 490	<ul style="list-style-type: none"> • Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes <i>(higher is better)</i>	0.97% 1,588	4.68% 213	0.96% 1,630	4.80% 227	<ul style="list-style-type: none"> • Develop plan to comply with statutory monitoring requirements.
6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i>	62.93% 103,243	60.76% 2,767	63.89% 108,576	62.03% 2,936	<ul style="list-style-type: none"> • Continue to provide services and support to maintain consumers in the family home.
7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i>	79.90% 131,096	85.20% 3,880	80.54% 136,876	86.01% 4,071	<ul style="list-style-type: none"> • See #3 through #6 above.

Public Policy Performance Measures (Required)...Continues

Measures	Statewide Average July 2018	KRC Baseline as of July 2018	Statewide Average June 2019	KRC Baseline as of June 2019	Activities Regional Center will Employ to Achieve Outcome
8. Number and percent of minors living in facilities serving greater than 7 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). (lower is better)	0.05% 75	0.00% 0	0.04% 66	0.00% 0	<ul style="list-style-type: none"> • Continue to identify and track children at risk of institutional placement.
9. Number and percent of adults living in facilities serving greater than 7 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). (lower is better)	2.39% 3,920	1.14% 52	2.24% 3,799	1.08% 51	<ul style="list-style-type: none"> • Continue to identify and track adults in large facilities.

Public Policy Performance Measures (Related to Employment)

Measures	Statewide Average 2017	KRC Baseline as of 2017	Statewide Average June 2019	KRC Baseline as of June 2019	Activities Regional Center will Employ to Achieve Outcome
1. Number and percentage of consumers, ages 16-64 with earned income. <i>(higher is better)</i>	14.50% 23,265	14.29% 650	TBD	TBD	<ul style="list-style-type: none"> • Identify consumers ages 16-64 with earned income. • New Measures data is forthcoming from the Employment Development Department (EDD). • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.
2. Average annual wages for consumers ages 16-64 <i>(higher is better)</i>	\$8,698	\$8,929	TBD	TBD	<ul style="list-style-type: none"> • Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).
3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA <i>(higher is better)</i>	14.50%	14.30%	TBD	TBD	<ul style="list-style-type: none"> • Track progress. New Measures data is forthcoming from the Employment Development Department (EDD). • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.
4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program. <i>(higher is better)</i>	UD	FY 1617 7	UD	FY 1718 5	<ul style="list-style-type: none"> • Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP). • Identify and track consumers participating in PIP. • Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants.
5. Percentage of adults who are placed in competitive, integrated employment following Participation in a Paid Internship Program <i>(higher is better)</i>	UD	UD	UD	UD	<ul style="list-style-type: none"> • Track progress. New measures data if forthcoming • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.

Public Policy Performance Measures (Related to Employment) CONTINUES.....

Measures	Statewide Average 2018	KRC Baseline as of 2018	Statewide Average July 2019	KRC Baseline as of July 2019	Activities Regional Center will Employ to Achieve Outcome
6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year. <i>(higher is better)</i>	TBD	\$11/hr 25 hrs/wk	TBD	TBD	<ul style="list-style-type: none"> Track progress. New measures data if forthcoming
7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made. <i>(higher is better)</i>	TBD	\$12.66 hr 25 hrs/wk	TBD	TBD	<ul style="list-style-type: none"> Track progress. New measures data if forthcoming
8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year <i>(higher is better)</i>	TBD	\$1000(3) \$1250(2) \$1500(3)	TBD	TBD	<ul style="list-style-type: none"> Track progress. New measures data if forthcoming
9. Percentage of adults who reported having integrated employment as a goal in their IPP <i>(higher is better)</i>	2014-15 State Avg 27%	2014-15 KRC Avg 37%	TBD	TBD	<ul style="list-style-type: none"> KRC to develop a plan on how to track these objectives. Obtain National Core Indicator (NCI) Survey. NCI measure "community employment" versus "integrated employment."

Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)

Measures	KRC FY 2015-16 % Utilized ALL AGES	KRC FY 2015-16 Age & Utilized	KRC FY 2016-17 % Utilized ALL AGES	KRC FY 2016-17 Age & Utilized	KRC FY 2017-18 % Utilized ALL AGES	KRC FY 2017-18 Age & Utilized	Activities Regional Center will Employ to Achieve Outcome
<p>2. Percent of total annual purchase of service expenditures by individual's ethnicity and age:</p> <p>* Birth to age two, inclusive.</p> <p>* Age three to 21, inclusive.</p> <p>* Twenty-two and older.</p>	<p>Asian 62.5%</p> <p>-</p> <p>Black/AA 64.5%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 59.3%</p> <p>-</p> <p>Hispanic 61.1%</p> <p>-</p> <p>Native American 76.6%</p> <p>-</p> <p>Other 64.5%</p> <p>-</p> <p>White 67.5%</p>	<p>0 - 2 53.6%</p> <p>3 - 21 53.8%</p> <p>22 - ^ 69.7%</p>	<p>Asian 62.7%</p> <p>-</p> <p>Black/AA 59.8%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 45.6%</p> <p>-</p> <p>Hispanic 50.7%</p> <p>-</p> <p>Native American 69.3%</p> <p>-</p> <p>Other 60.2%</p> <p>-</p> <p>White 62.8%</p>	<p>0 - 2 53.6%</p> <p>3 - 21 49.9%</p> <p>22 - ^ 61.1%</p>	<p>Asian 63.2%</p> <p>-</p> <p>Black/AA 59.0%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 47.4%</p> <p>-</p> <p>Hispanic 54.7%</p> <p>-</p> <p>Native American 55.4%</p> <p>-</p> <p>Other 61.6%</p> <p>-</p> <p>White 64.2%</p>	<p>0 - 2 54.7%</p> <p>3 - 21 52.9%</p> <p>22 - ^ 62.7%</p>	<ul style="list-style-type: none"> • KRC will utilized the DDS Disparity Grant to implement a plan to address disparity for birth to 8 years, and 8 years and over. • Conduct outreach and training through educational presentations about regional center services in venues serving families with young children [birth to 8 years] in KRC's catchment area, utilizing Early Start networks. • Enhanced assessment and intake process by restructuring the unit, providing training in the Hawaii Early Learning Profile (HELP), or the Infant-Toddler Developmental Assessment (IDA).

Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures) Continues

Measures	KRC FY 2015-16 Ethnicity All Ages	KRC FY 2015-16 All Ethnicity	KRC FY 2016-17 Ethnicity All Ages	KRC FY 2016-17 All Ethnicity	KRC FY 2017-18 Ethnicity All Ages	KRC FY 2017-18 All Ethnicity	Activities Regional Center will Employ to Achieve Outcome
3. Number and percent of individuals receiving only case management services by age and ethnicity: * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older.	Asian 26 21.7%		Asian 59 24.2%		Asian 65 24.3%		<ul style="list-style-type: none"> • Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients. • Through the Individual Program Plan (IPP) process KRC will to include all services not funded by POS dollars. • Hire additional case worker to reduce caseload ratio.
	-		-		-		
	Black/AA 141 19.5%		Black/AA 168 22.5%		Black/AA 170 22.3%		
	-		-		-		
	Filipino 21 20.6%	0 - 2 100	Filipino 2 50%	0 - 2 97	Native Hawaiian or Other Pacific Islander 2 33.3%	0 - 2 168	
	-	10.2%	-	8.4%	-	13.3%	
	Hispanic 1,112 28.7%	-	Hispanic 1,187 29%	-	Hispanic 1,290 29.2%	-	
	-	3 - 21 1,335 35.9%	-	3 - 21 1,599 39.8%	-	3 - 21 1,679 39.3%	
	Native American 14 23.0 %	22 - ^ 503 13.9%	Native American 10 15.4 %	22 - ^ 564 14.8%	Native American 11 14.9%	22 - ^ 599 15.4%	
	-		-		-		
	Other 151 22.6%		Other 181 26.4%		Other 206 28.8%		
	-		-		-		
	Polynesian 1 16.7%		White 653 20.9 %		White 702 22.2%		
	-						
White 617 19.8 %							

Compliance Measures ¹UD = Under Development

<i>Measures</i>					<i>Yes/No</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
1. Unqualified independent audit with no material finding(s).					YES	Establish, apply and maintain good business practices and generally accepted accounting principles.
2. Substantial compliance with Department of Developmental Services fiscal audit					YES	Establish, apply and maintain good business practices and generally accepted accounting principles.
3. Accuracy percent of POS fiscal projections (based on February SOAR)					YES	Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
4. Operates within OPS budget					YES	Develop plan to operate within the operational funds allocation.
5. Certified to participate in Waiver					YES	Maintain compliance with Medicaid Waiver requirements.
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.					YES	Maintain compliance with contract.
<i>Measures</i>	<i>Statewide Average July 2018</i>	<i>KRC Baseline as of July 2018</i>	<i>Statewide Average June 2019</i>	<i>KRC Baseline as of June 2019</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>	
7. CDER/ESR Currency	98.52%	99.37%	98.39%	99.02%	Continue to monitor timely completion of CDER/ESR.	
<i>Measures</i>	<i>State Target</i>	<i>FFY 2012 Report</i>	<i>FFY 2015 Report</i>	<i>FFY 2018 Report</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>	
8. Intake/assessment and IFSP time lines (0-2).	100%	90%	96%	96.67%	Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].	

Compliance Measures ¹UD = Under Development **Continues**

<i>Measures</i>	<i>Statewide Average July 2018</i>	<i>KRC Baseline as of July 2018</i>	<i>Statewide Average June 2019</i>	<i>KRC Baseline as of June 2019</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
9. Intake/assessment time lines for consumers ages 3 and above.	98.21%	98.14%	89.84%	92.02%	<p>Implement plan to ensure timely completion of intake/assessment.</p> <p>Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.</p>
<i>Measures</i>	<i>Federal Revenue Audit Report 2012</i>	<i>Federal Revenue Audit Report 2014</i>	<i>Federal Revenue Audit Report 2015</i>	<i>Federal Revenue Audit Report 2017</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
10. IPP Development (WIC requirements)	97%	97%	96%	90%	<ul style="list-style-type: none"> • Comply with all requirement of WIC 4646.5c(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. • Hire additional case worker to reduce caseload ratio. • New Service Coordinators will be trained on all aspects of the IPP process, including timelines.
<i>Measures</i>	<i>State Target</i>	<i>FFY 2012 Report</i>	<i>FFY 2015 Report</i>	<i>FFY 2018 Report</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
11. An Initial IFSP Development Part C 45 day time line (Title 17 requirements).	100%	100%	68%	40%	<ul style="list-style-type: none"> • Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5]. • Service Coordinators will be trained on all aspect of the IFSP process, including time lines. • Train early start/assessment Coordinators the use of HELP.